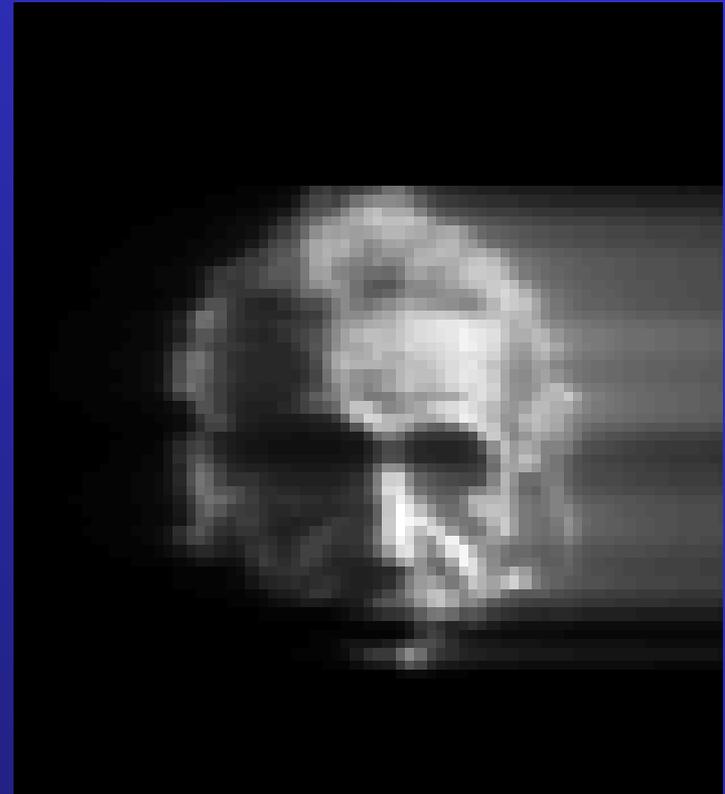


Proton Radiotherapy 101

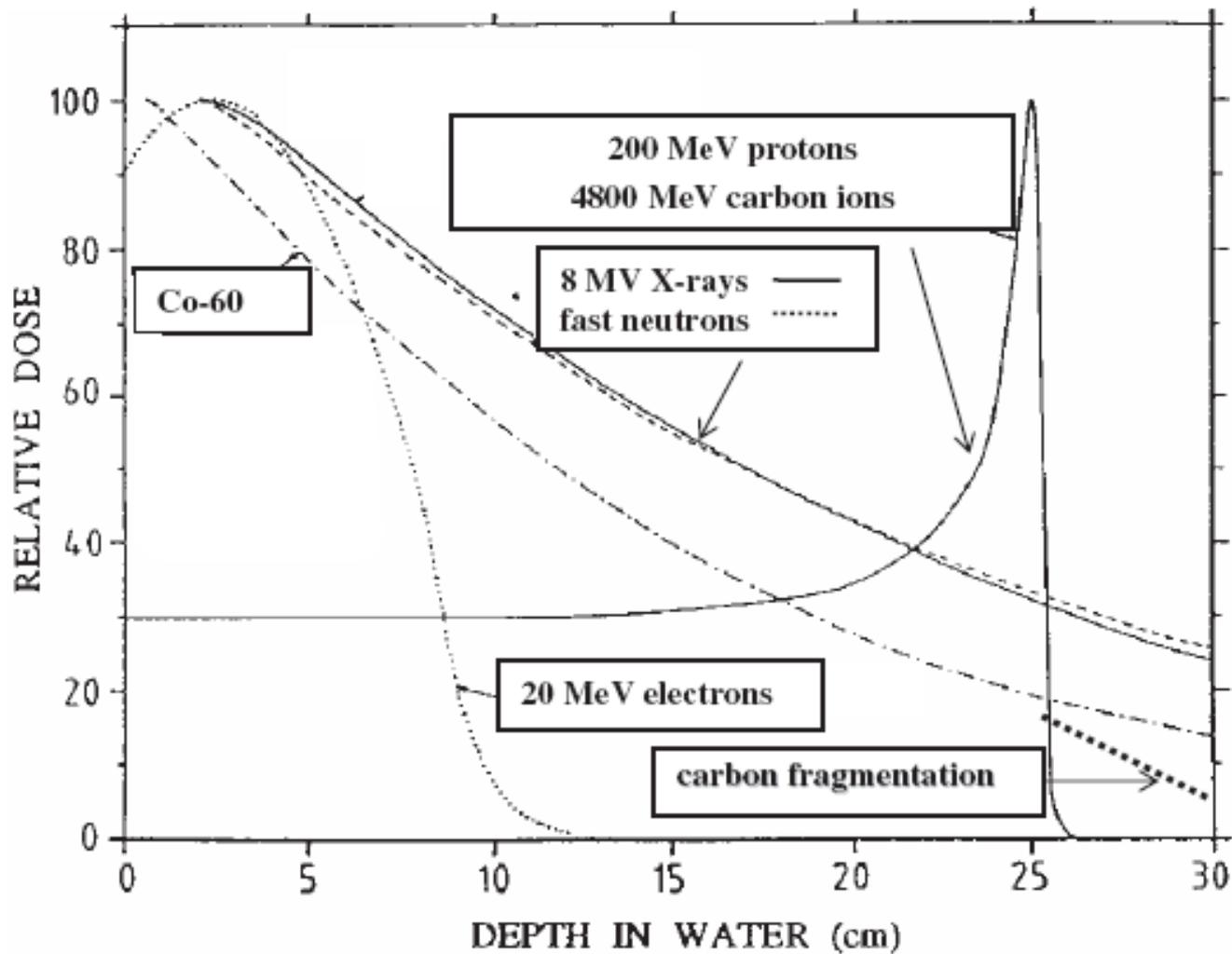
John DeMarco, PhD

UCLA Department of Radiation Oncology



Discussion outline

- A basic review of physics and dosimetry considerations for calculating dose from heavy charged particles.
- Physical versus biological dose.
- Delivery aspects of proton radiotherapy: passive scattering versus spot scanning.



From Amaldi and Kraft, "Radiotherapy with beams of carbon ions, Reports on Progress in Physics, 68, (2005)

Electrons, protons, heavy ions

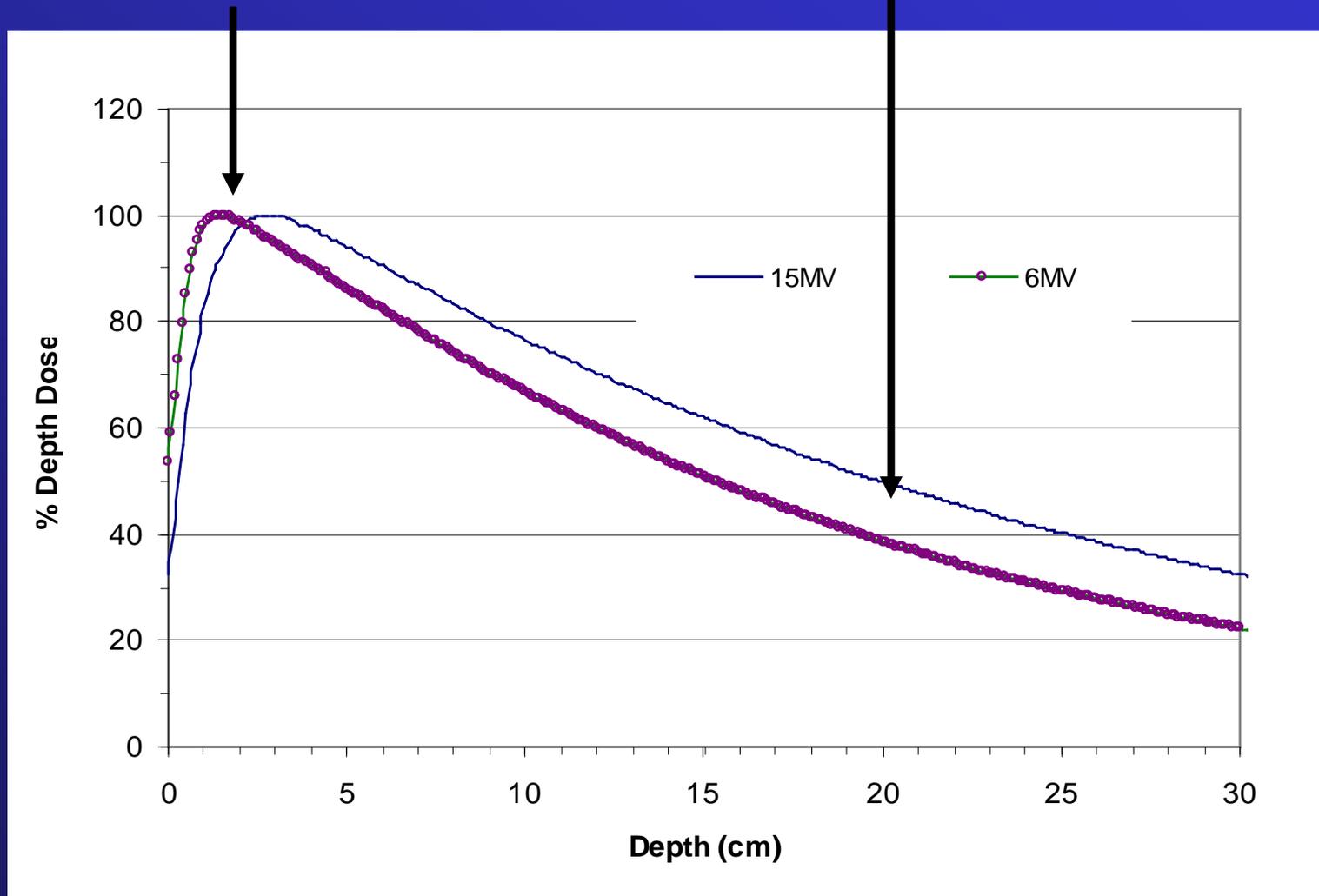
- finite range
- stopping power, linear energy transfer
- primary charged particle will deposit energy while "slowing-down"
- produce secondary charged particles
- protons and heavy ions can undergo nuclear interactions

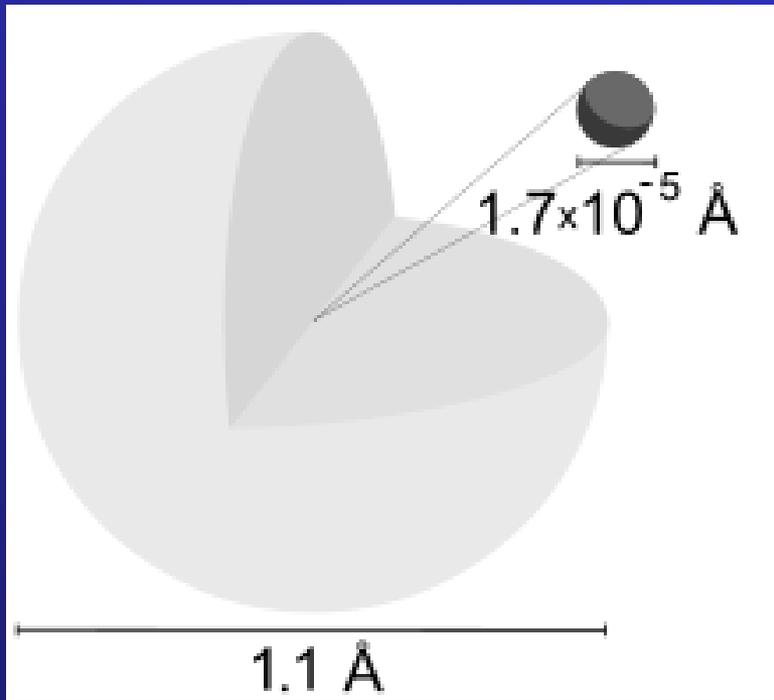
Photons, neutrons

- infinite "range"
- $e^{-\mu x}$ exponential attenuation
- secondary charged particles responsible for energy deposition
- neutrons and high-energy photons can undergo nuclear interactions.

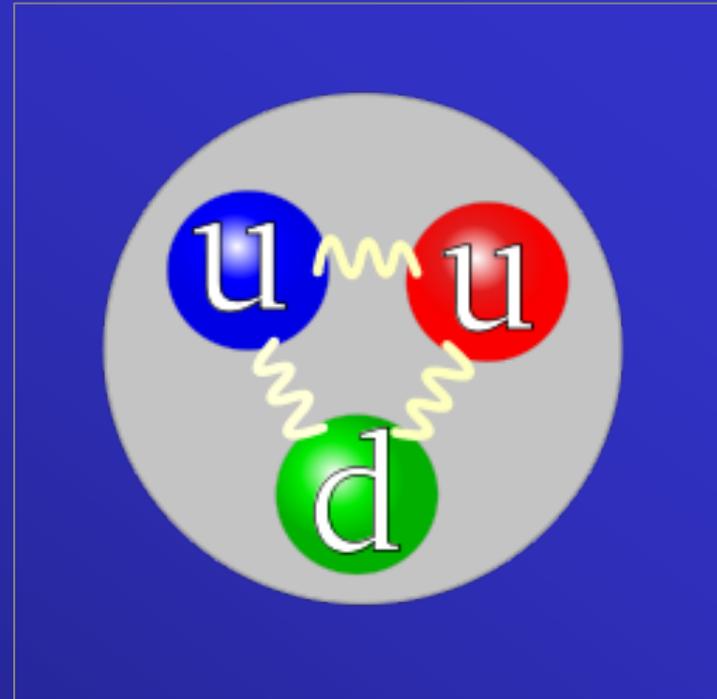
Build-up region
(secondary electrons)

Dose fall-off
Photon attenuation, (primary and scatter), secondary electron transport





Hydrogen Atom



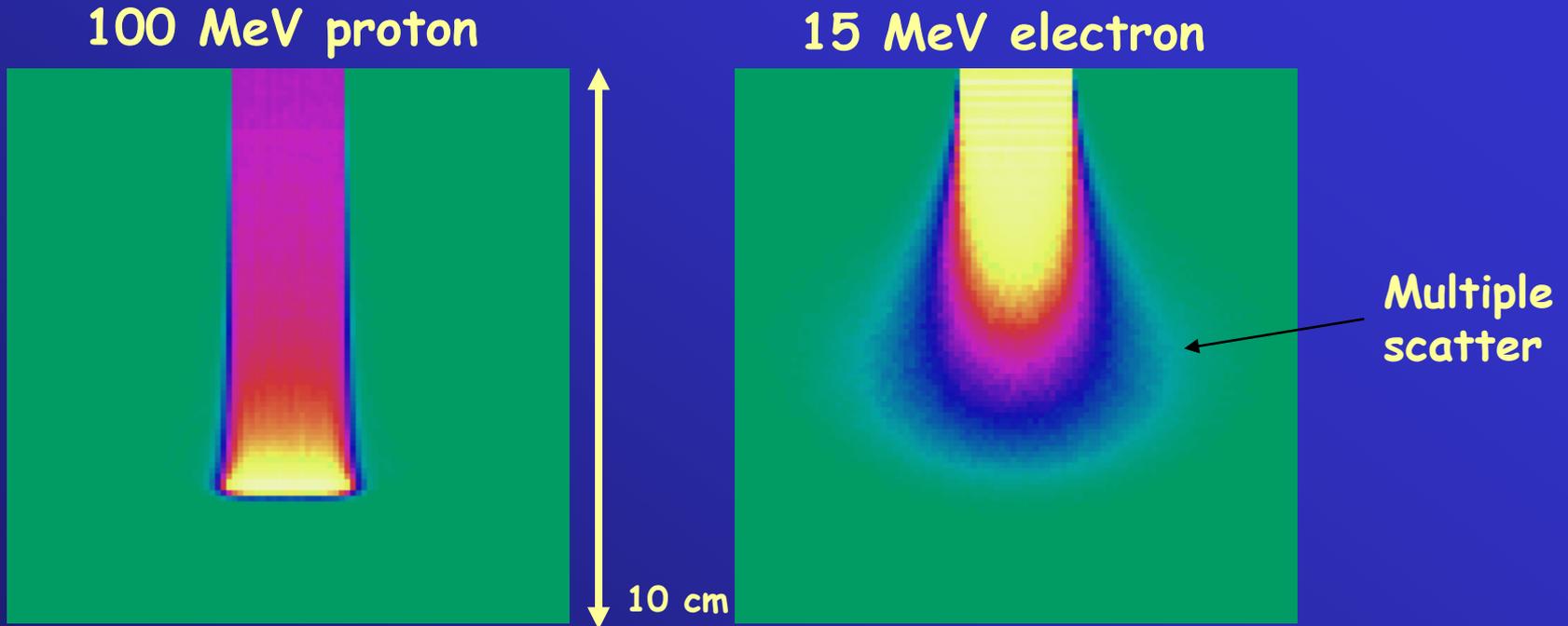
Proton

Charge = +1

Rest Mass \approx 938 MeV

Discovered by Ernest Rutherford 1918

Proton vs Electron



Ratio of proton mass to
electron mass = 1836

How does a proton deposit energy as it moves through a material?

Ionization (Hard Collisions)

Excitation (Soft Collisions)

Elastic Scatter

Non-Elastic collisions

Interact with
surrounding electrons

Electrons interact in the
same manner

Interact with
surrounding nuclei

The charged particle energy loss rate is based upon the collisional and nuclear stopping powers (CSDA)



energy transferred to electrons
via ionization and excitation

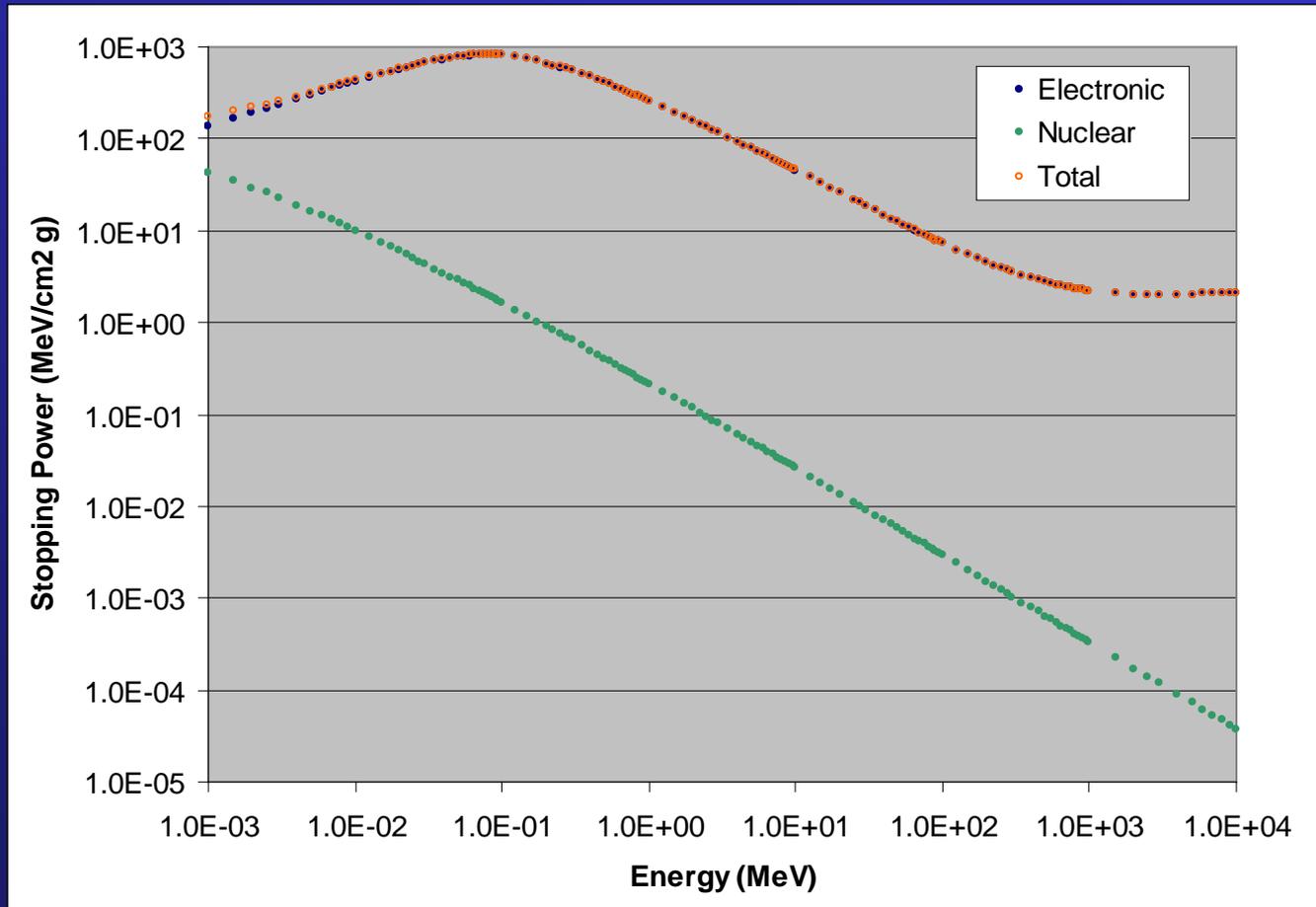


energy transferred to recoiling
atoms via elastic collisions

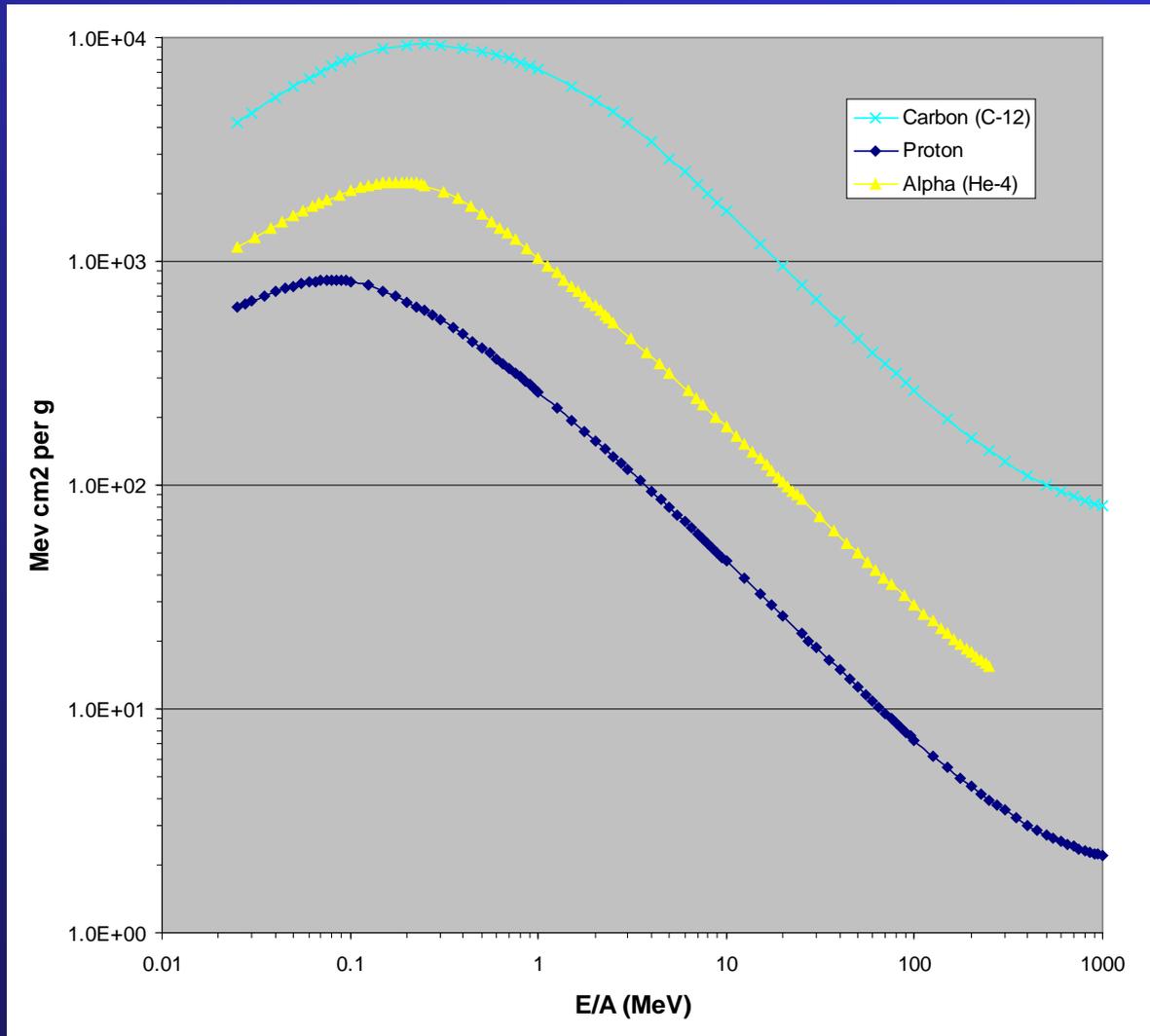
~~Radiative
interactions~~

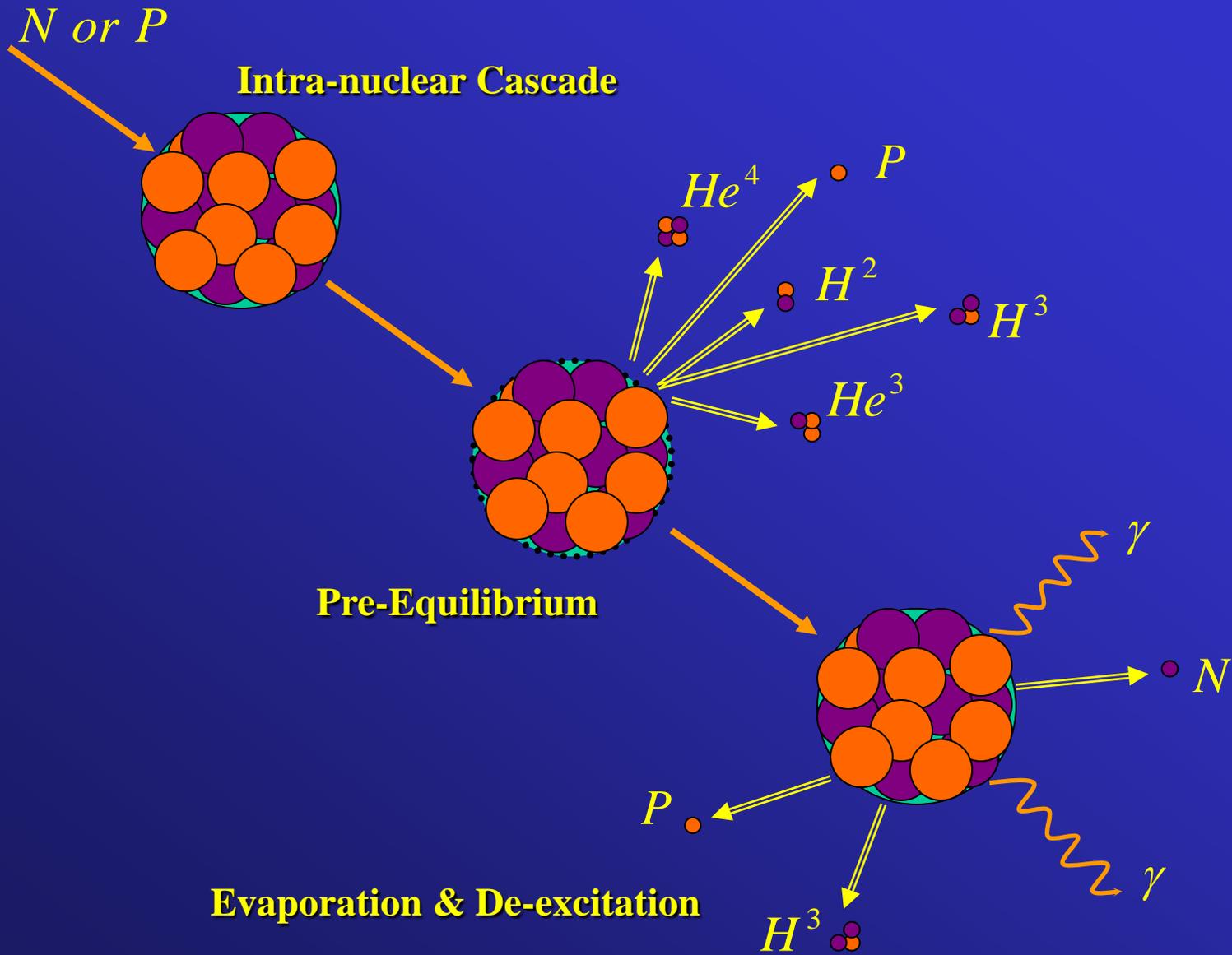
- The stopping power or LET provides a crude characterization of charged particle tracks.
- The radial extension of the particle tracks (and therefore the dose distribution) due to the lateral transport of secondary particles such as δ -rays is not accounted.
- The statistical fluctuation of energy loss along the particle track (energy-loss straggling) is not accounted.
- Particle removal due to inelastic collisions is not accounted.

Comparison of stopping power components for protons incident on water

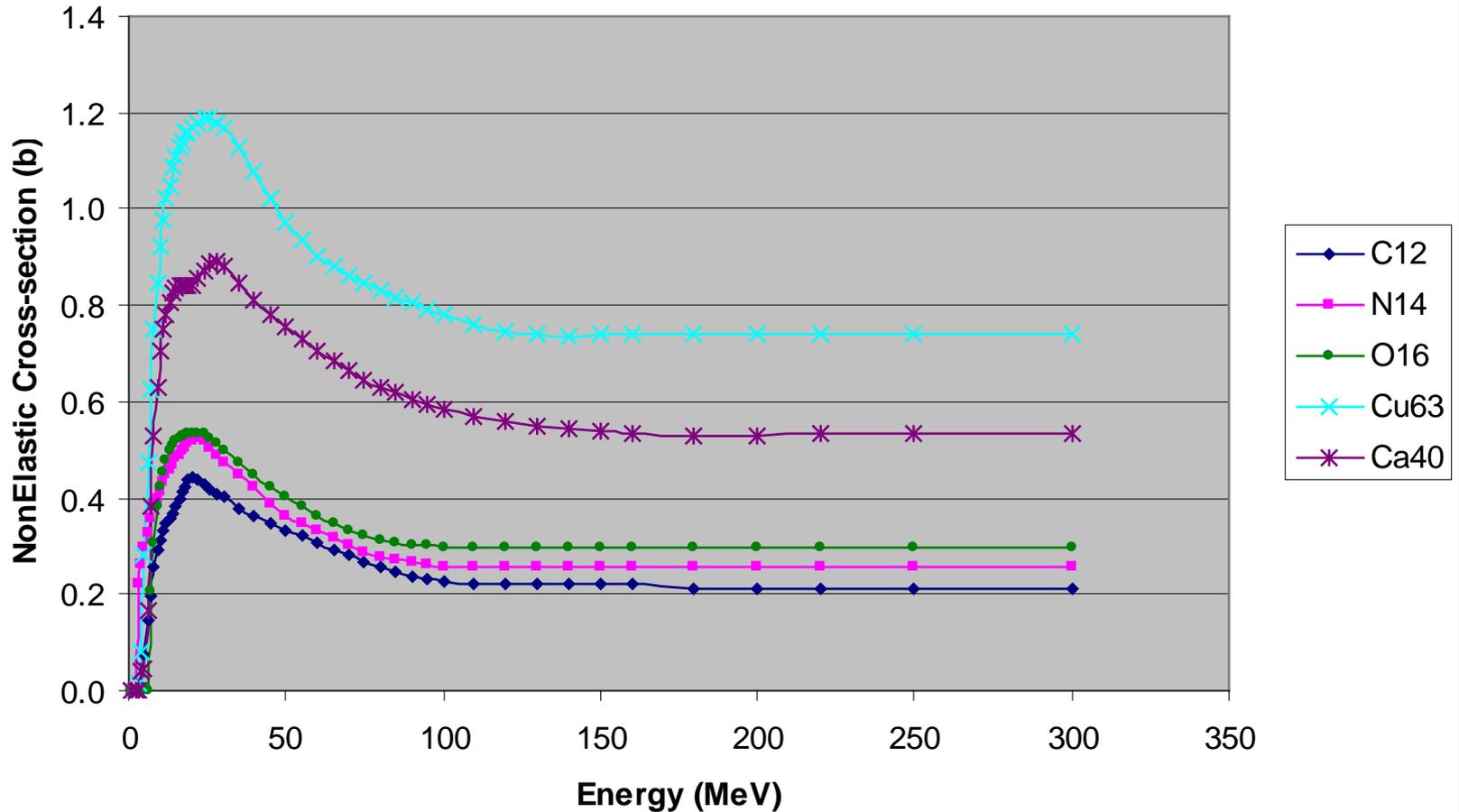


A comparison of stopping power values for different heavy ions



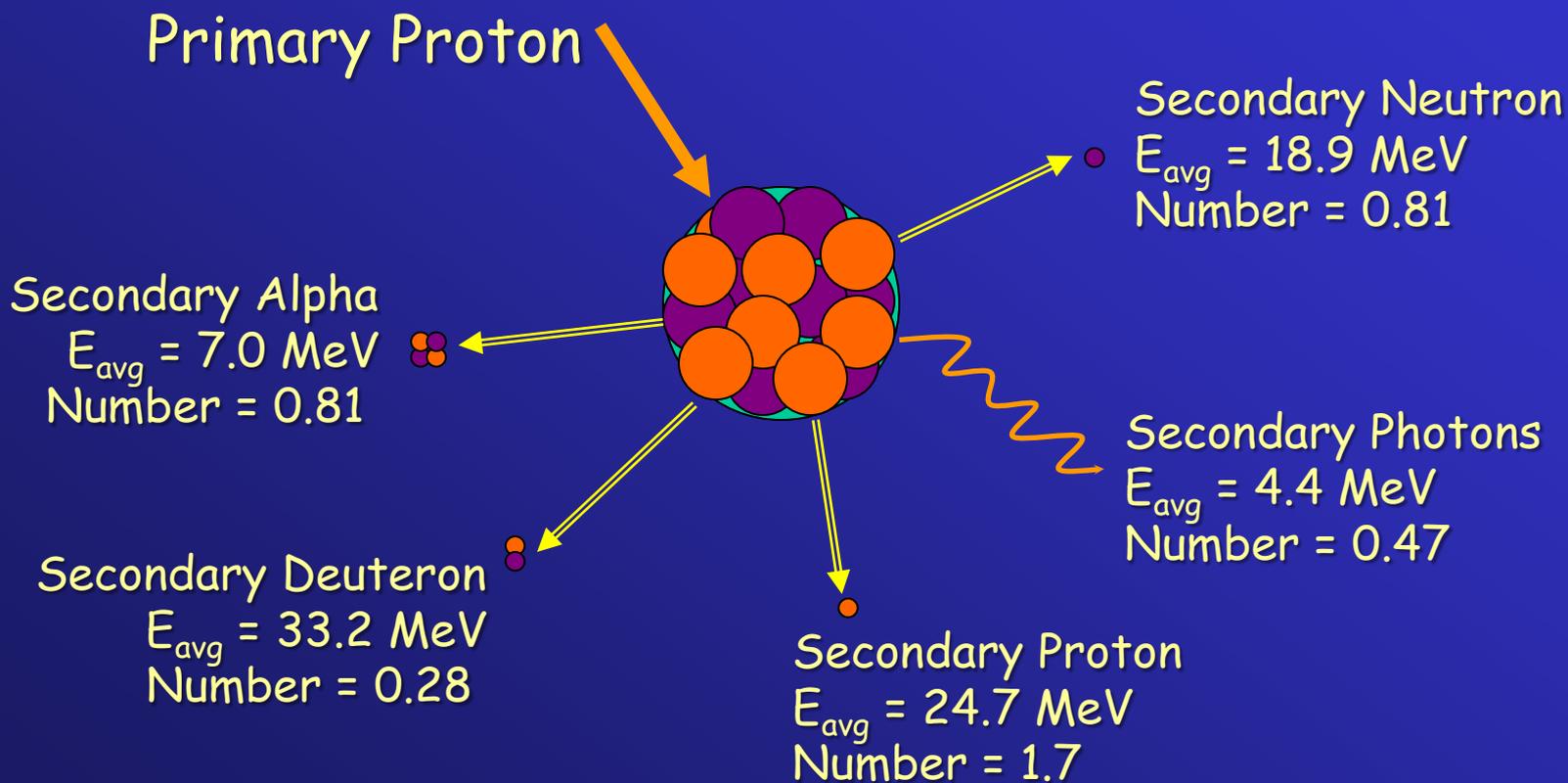


Non-elastic cross-section for protons



ICRU Report 63

Nuclear Data for Neutron and Proton Radiotherapy and for Radiation Protection



Cross-section and energy transfer summary for 60 MeV p + ¹⁶O

$$\sigma_{\text{non}} = 362 \text{ mb}$$

| | | | |
|---------------|--------------------|-------------------------------|--------------------|
| Neutrons | $M_n = 0.438$ | $E_n = 11.93 \text{ MeV}$ | $f_n = 0.087$ |
| Protons | $M_p = 1.322$ | $E_p = 17.45 \text{ MeV}$ | $f_p = 0.385$ |
| Deuterons | $M_d = 0.192$ | $E_d = 24.60 \text{ MeV}$ | $f_d = 0.079$ |
| Tritons | $M_t = 0.000$ | $E_t = 00.00 \text{ MeV}$ | $f_t = 0.000$ |
| Alphas | $M_\alpha = 0.733$ | $E_\alpha = 5.82 \text{ MeV}$ | $f_\alpha = 0.071$ |
| Gammas | $M_\gamma = 0.549$ | $E_\gamma = 4.65 \text{ MeV}$ | $f_\gamma = 0.043$ |
| A > 4 recoils | - | - | $f_{A>4} = 0.046$ |

Cross-section and energy transfer summary for 200 MeV p + ¹⁶O

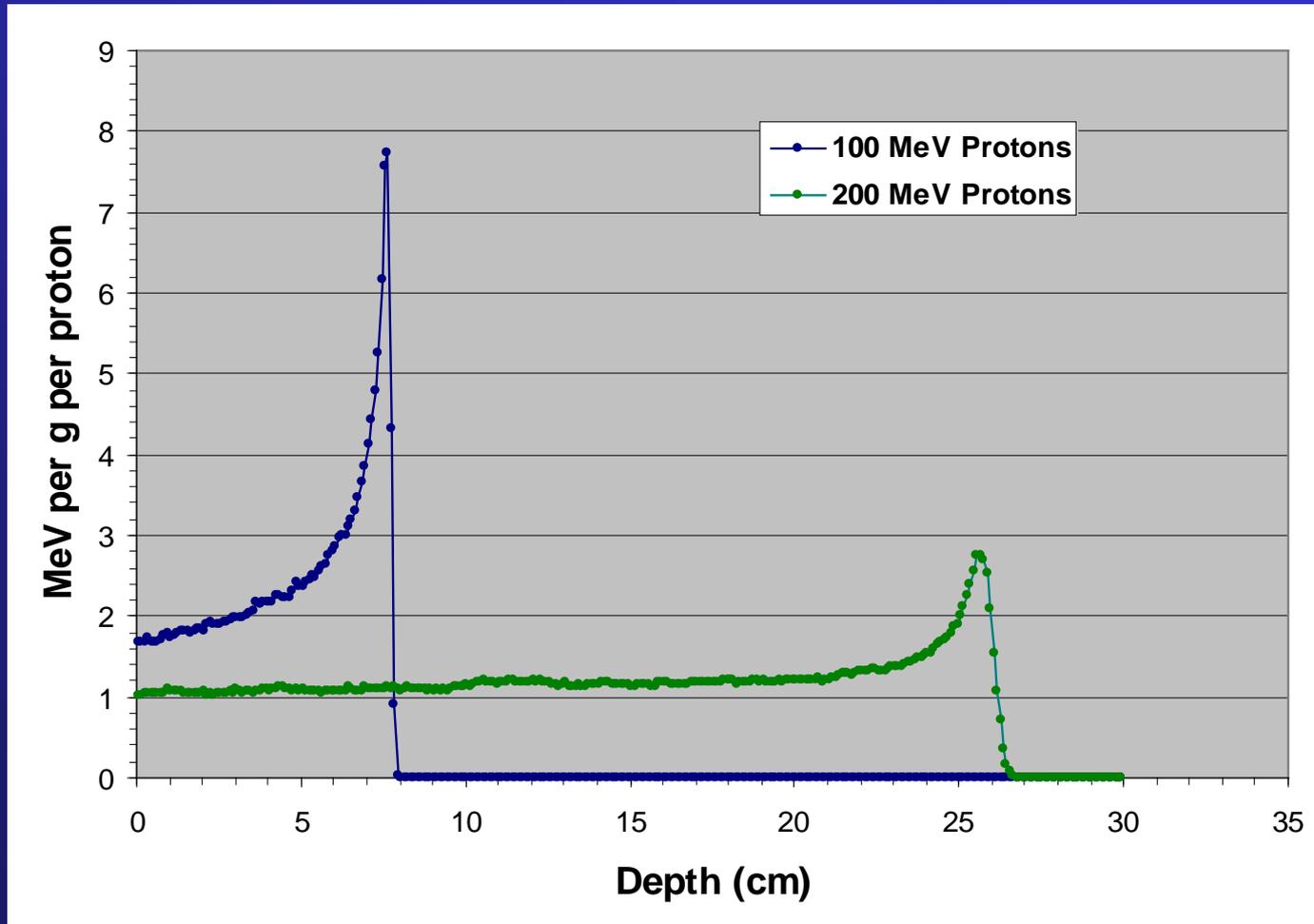
$$\sigma_{\text{non}} = 295 \text{ mb}$$

| | | | |
|---------------|--------------------|-------------------------------|--------------------|
| Neutrons | $M_n = 1.265$ | $E_n = 36.74 \text{ MeV}$ | $f_n = 0.232$ |
| Protons | $M_p = 2.165$ | $E_p = 43.39 \text{ MeV}$ | $f_p = 0.470$ |
| Deuterons | $M_d = 0.428$ | $E_d = 45.75 \text{ MeV}$ | $f_d = 0.098$ |
| Tritons | $M_t = 0.000$ | $E_t = 00.00 \text{ MeV}$ | $f_t = 0.000$ |
| Alphas | $M_\alpha = 0.853$ | $E_\alpha = 9.87 \text{ MeV}$ | $f_\alpha = 0.042$ |
| Gammas | $M_\gamma = 0.373$ | $E_\gamma = 4.38 \text{ MeV}$ | $f_\gamma = 0.008$ |
| A > 4 recoils | - | - | $f_{A>4} = 0.021$ |

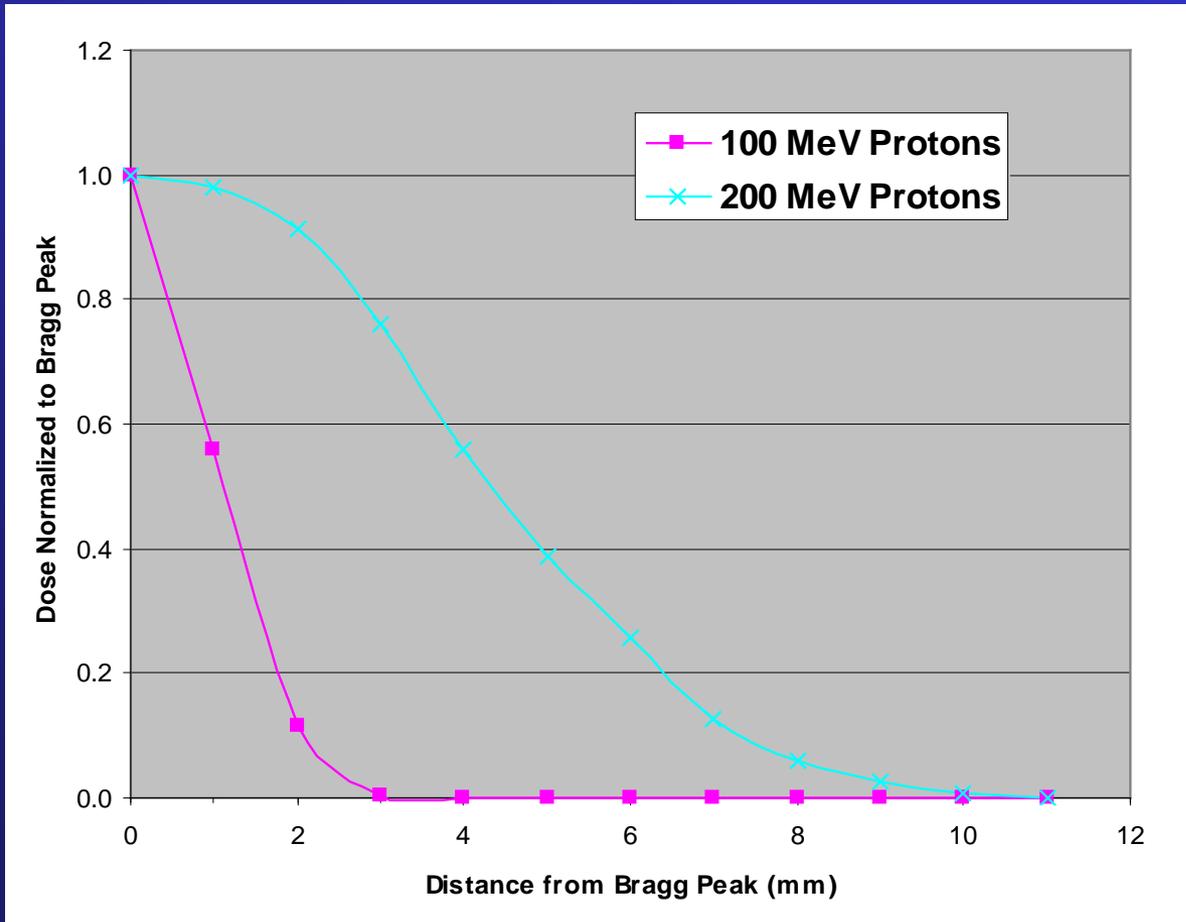
Why do we care about the proton nuclear interactions and the associated by-products?

- Radiation protection & shielding from the secondary neutron and photon contamination
- The LET (linear energy transfer) and RBE (relative biological effectiveness) of secondary charged particles
- Treatment planning considerations for the primary proton: removal and end-of-range straggling

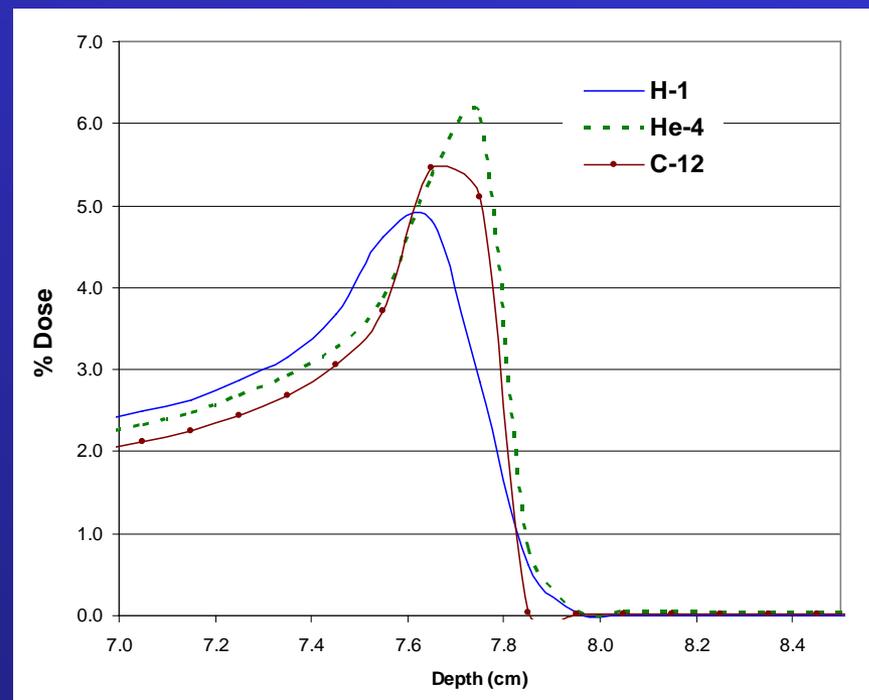
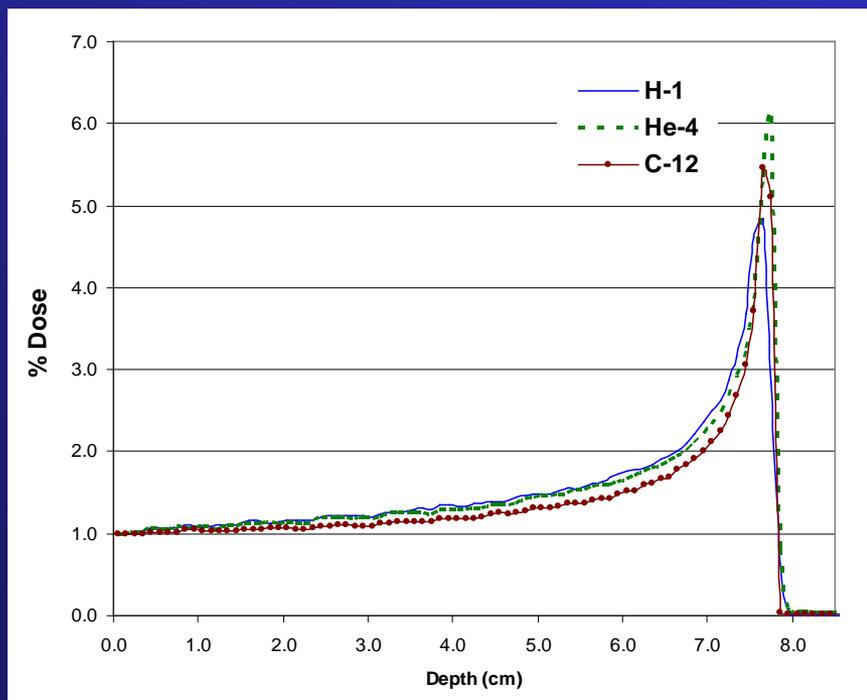
Absorbed dose comparison of 100 vs. 200 MeV protons in water: the influence of nuclear interactions



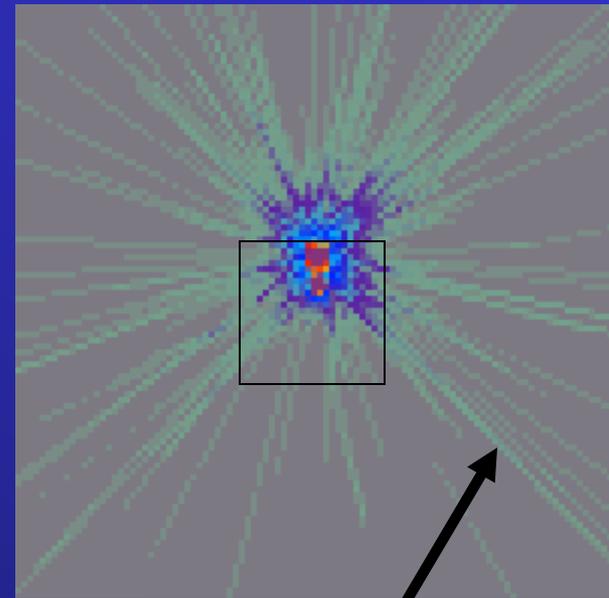
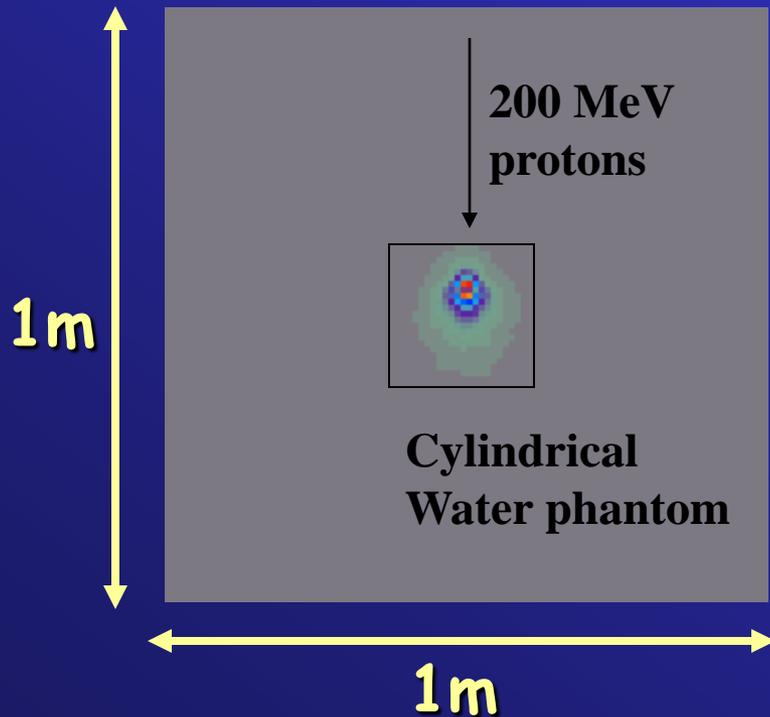
End-of-range characteristics of 100 versus 200 MeV protons in water.



Depth dose and end-of-range characteristics of protons, alpha particles, and carbon ions

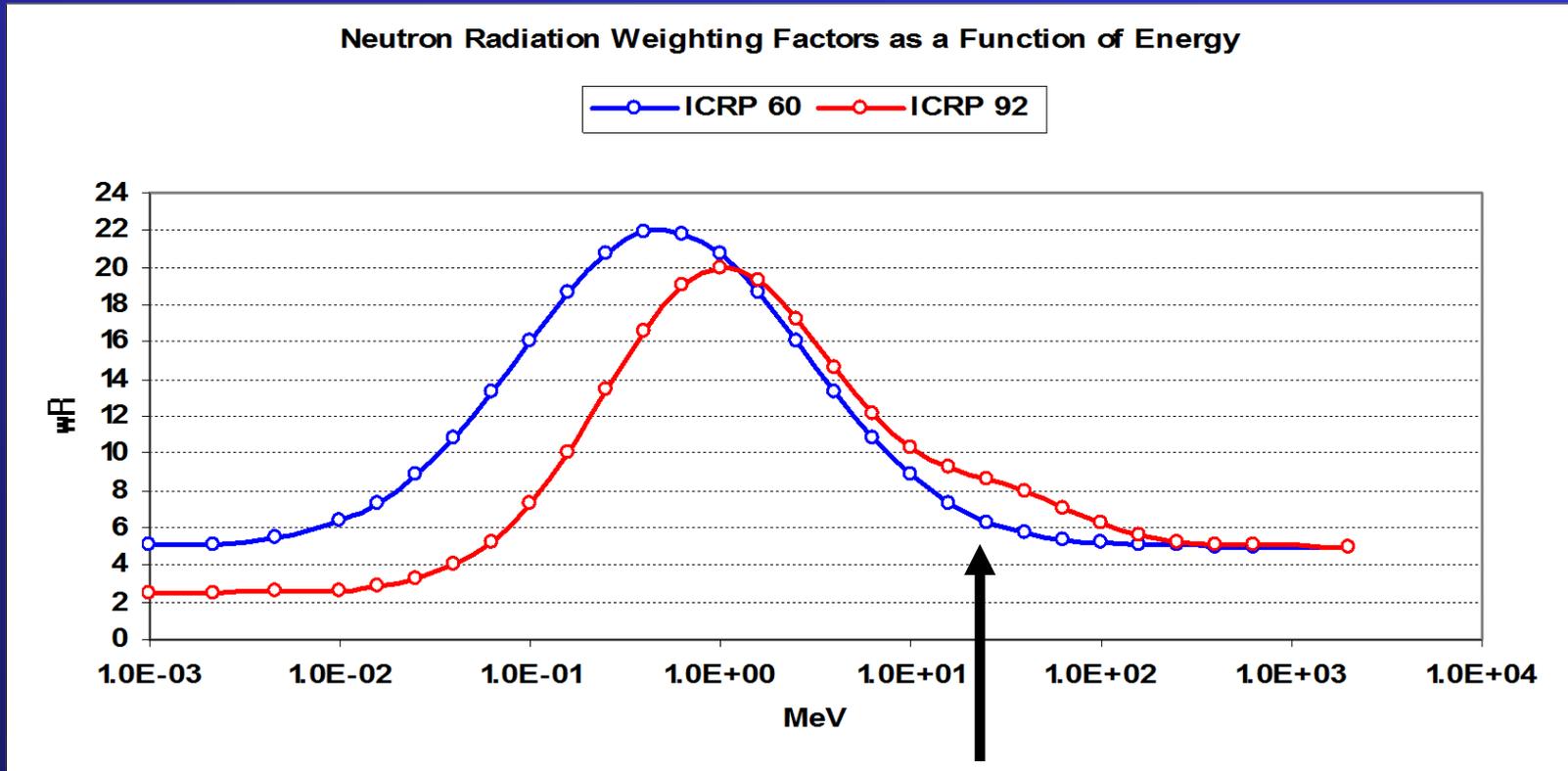


Secondary neutron production associated with passive collimation components



Relative neutron fluence from non-elastic interactions of proton in a simulated brass collimator

"Biological" vs. Physical Dose for Neutrons

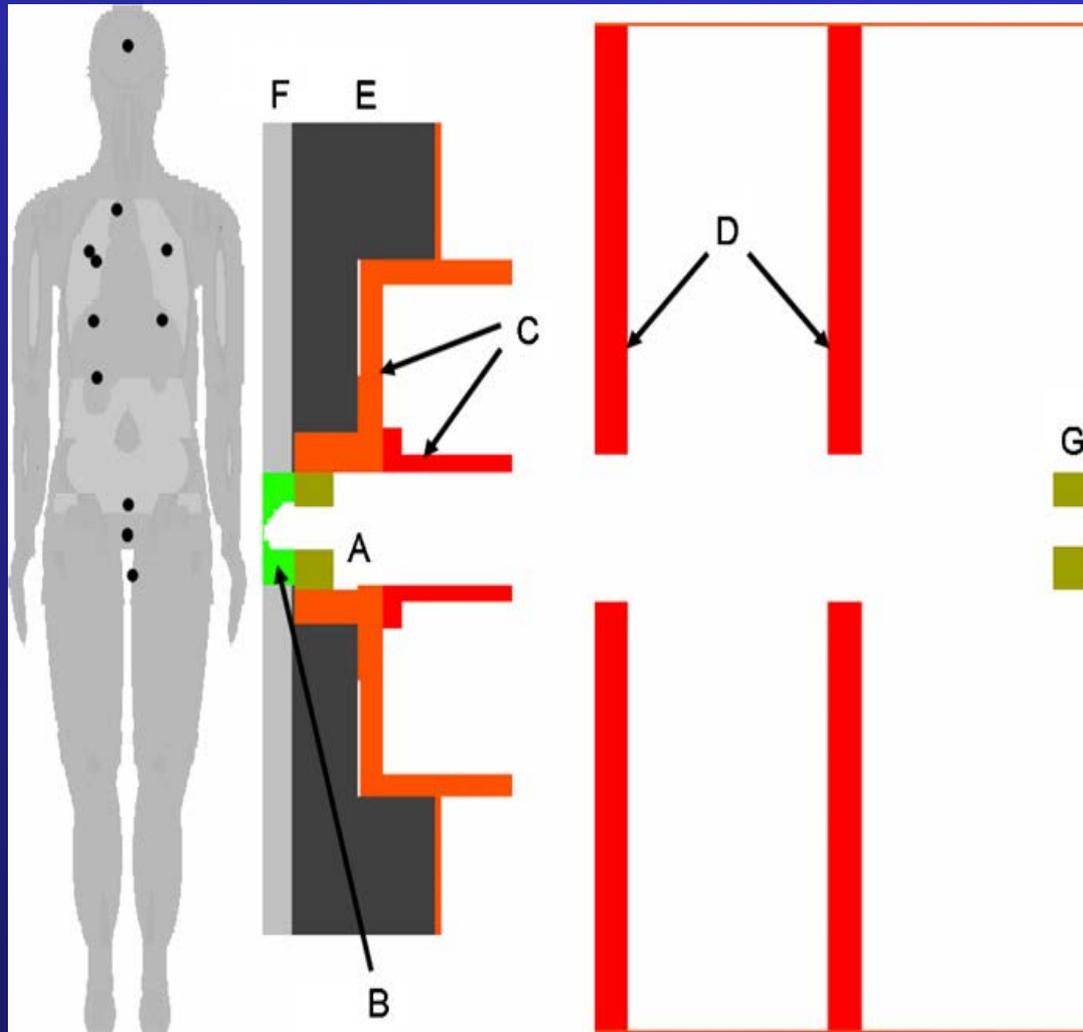


$E_{avg} = 20 \text{ MeV}$

Equivalent dose ($H_{T,R}$)

$$H_{T,R} = w_R D_{T,R}$$

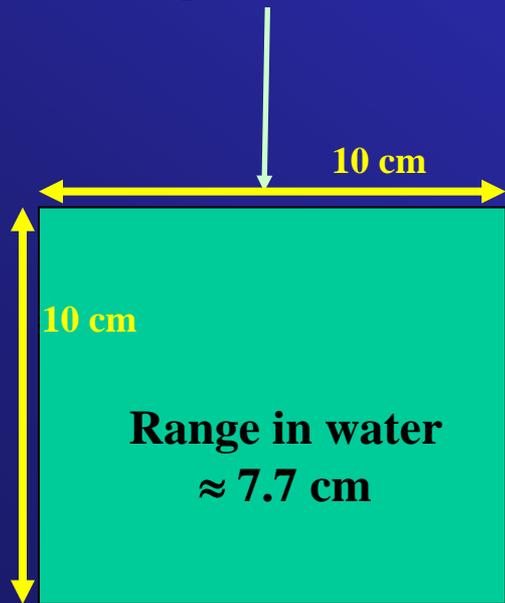
| Type and energy range | Radiation weighting factor (w_R) |
|---|---|
| Photons, all energies | 1 |
| Electrons & muons, all energies | 1 |
| Neutrons, energy < 10keV | 5 |
| 10 keV to 100 keV | 10 |
| 100 keV to 2 MeV | 20 |
| 2 MeV to 20 MeV | 10 |
| > 20 MeV | 5 |
| Protons, other than recoil protons, $E > 2\text{MeV}$ | 5 |
| Alpha particles, fission fragments, heavy nuclei | 20 |



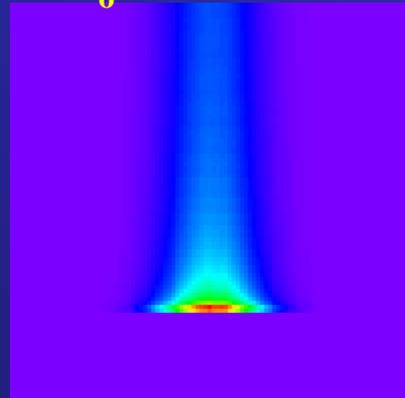
Passive collimation system for treating prostate cancer at the MD Anderson Proton Therapy Center

Tue et al., "Reducing stray radiation dose to patients receiving passively scattered proton radiotherapy for prostate Cancer", *Med. Phys.* 53, (2008).

Gaussian pencil beam of monoenergetic ions incident on a water phantom

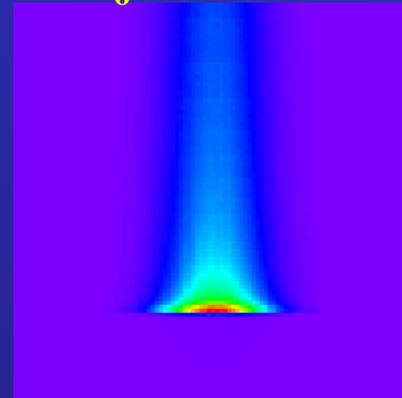


$E_0 = 2243$ MeV



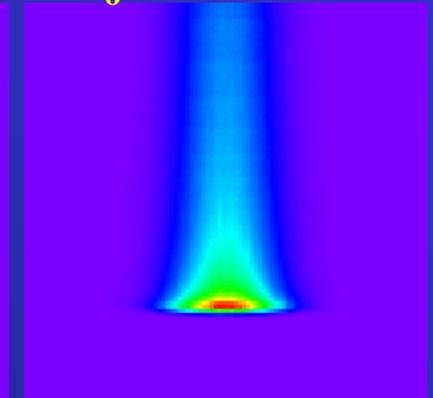
C-12

$E_0 = 400$ MeV



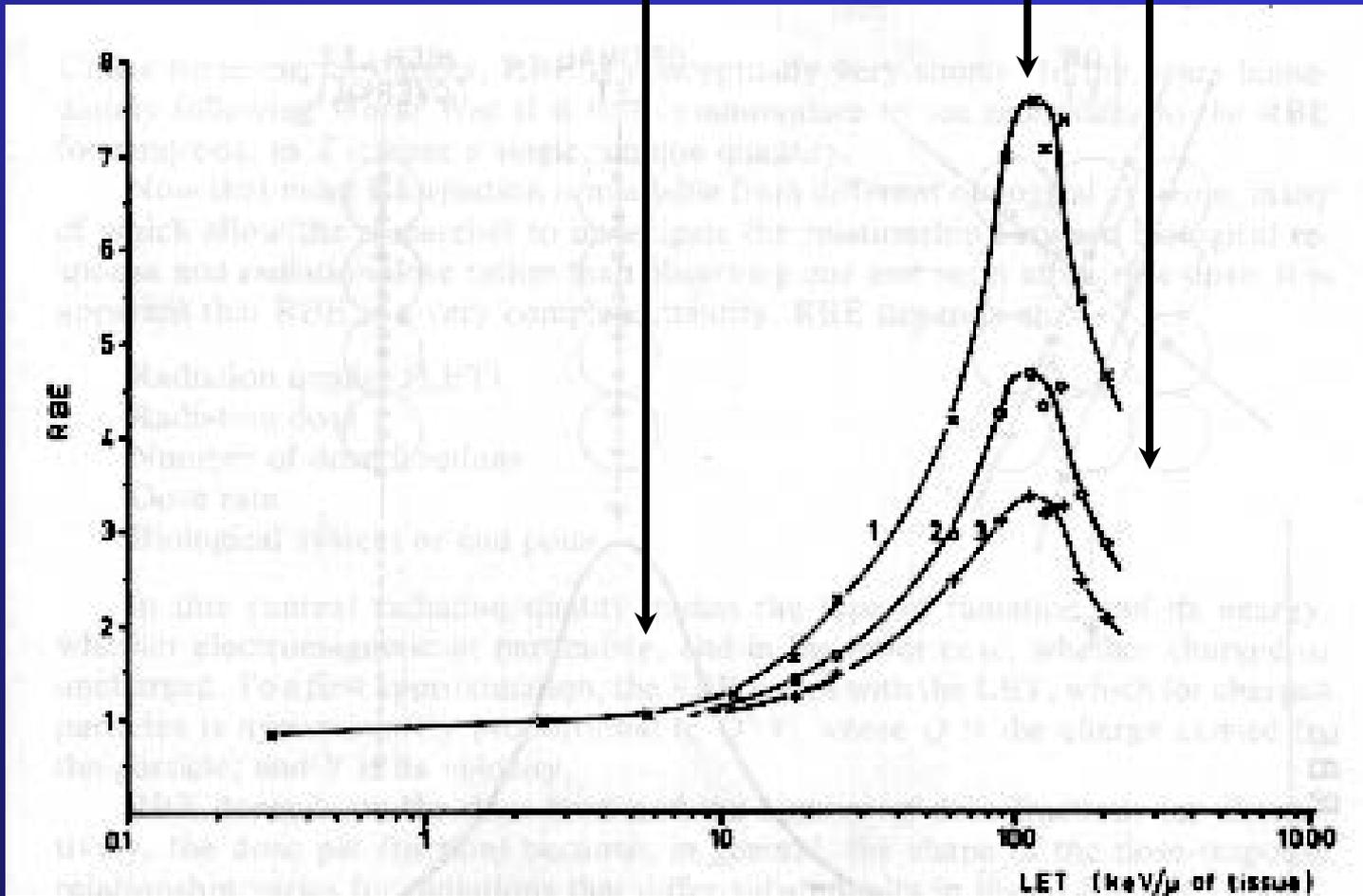
He-4

$E_0 = 100$ MeV



H-1

Low LET **Optimal**
 $\approx 100 \text{ keV}/\mu\text{m}$ **Overkill**



From Hall

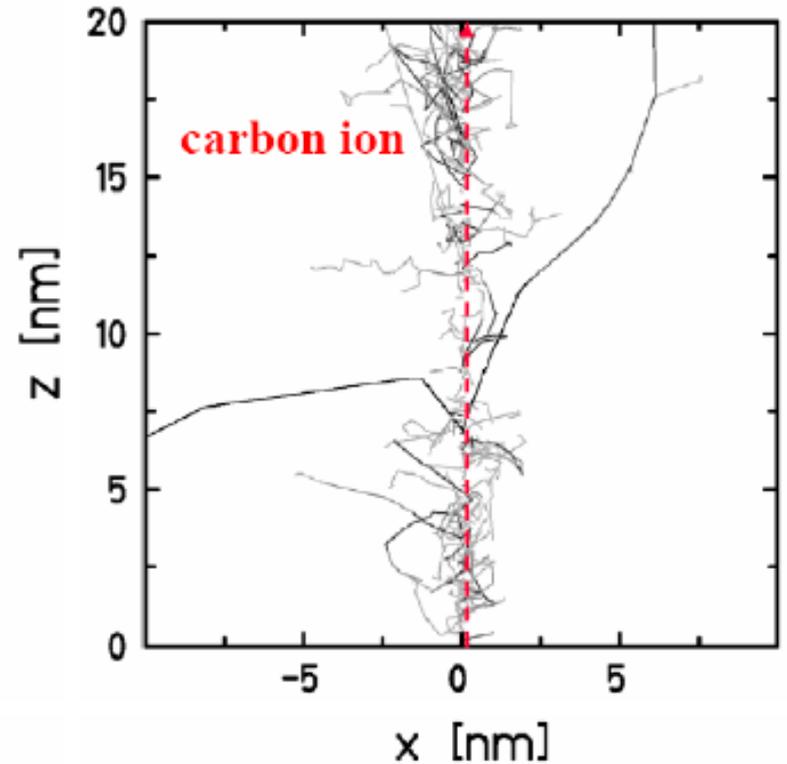
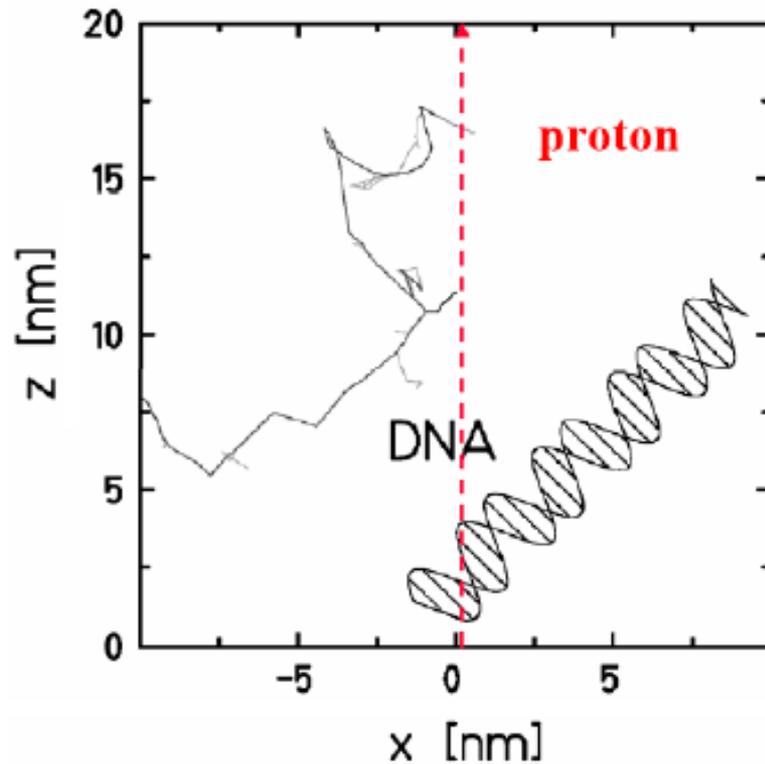
A comparison of LET values for different heavy ions

| Charged particle $M_N Z$ | E (MeV u^{-1}) Range = 262 mm | LET (keV μm^{-1}) at various residual ranges in water (mm) | | | | |
|-----------------------------|--|--|------|------|------|-------|
| | | 262 | 150 | 70 | 30 | 1 |
| ${}^1\text{H}^{+1}$ | 200.0 | 0.5 | 0.6 | 0.8 | 1.1 | 4.8 |
| ${}^4\text{He}^{+2}$ | 202.0 | 1.8 | 2.2 | 3.1 | 4.4 | 20.0 |
| ${}^7\text{Li}^{+3}$ | 234.3 | 3.7 | 4.6 | 6.2 | 8.9 | 40.0 |
| ${}^{11}\text{B}^{+5}$ | 329.5 | 8.5 | 10.0 | 13.5 | 19.0 | 87.5 |
| ${}^{12}\text{C}^{+6}$ | 390.7 | 11.0 | 13.5 | 17.5 | 24.5 | 112.0 |
| ${}^{14}\text{N}^{+7}$ | 430.5 | 14.5 | 17.5 | 22.5 | 31.5 | 142.0 |
| ${}^{12}\text{O}^{+8}$ | 468.0 | 18.0 | 21.5 | 28.0 | 39.0 | 175.0 |

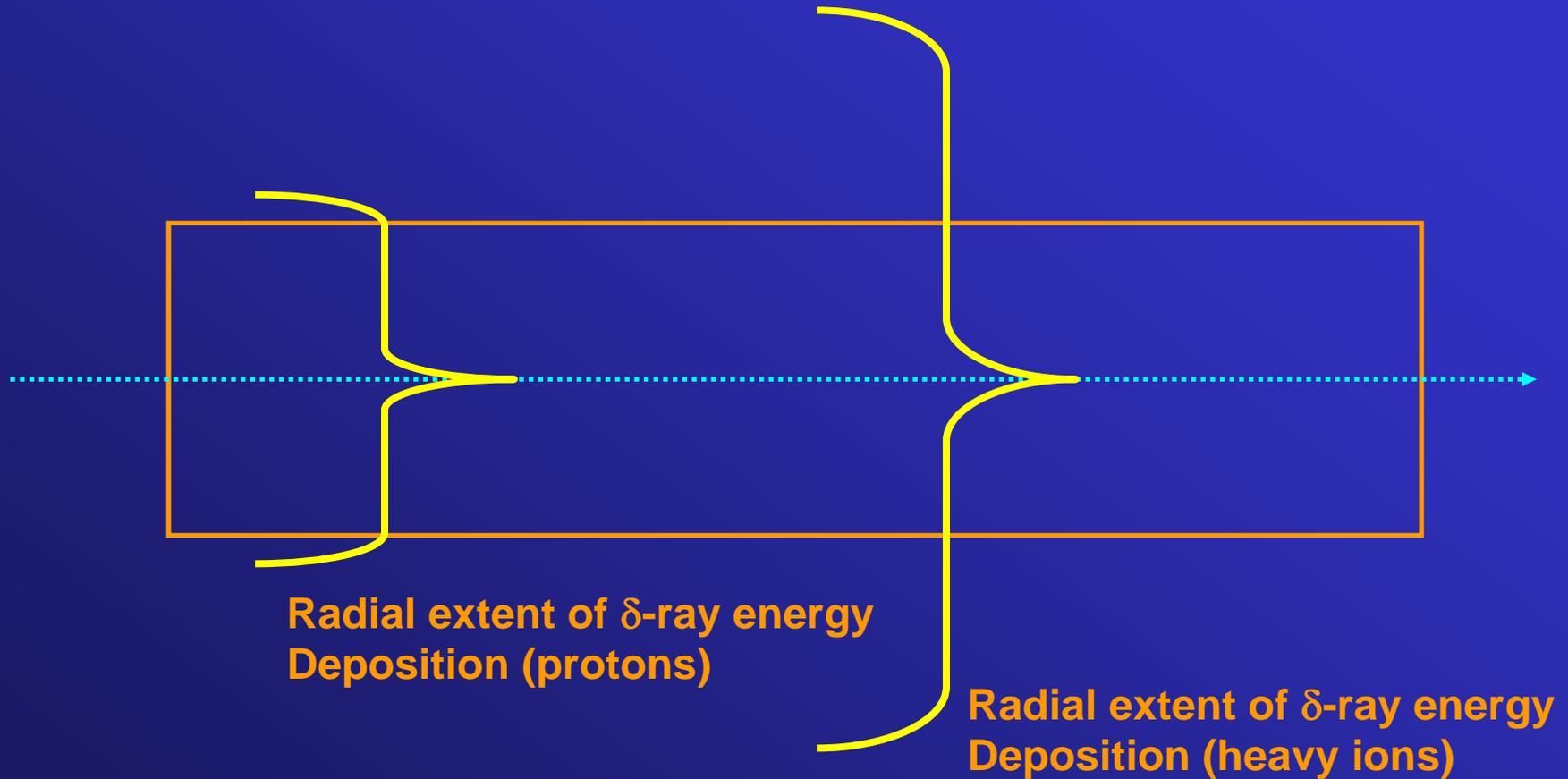
Plateau

Peak

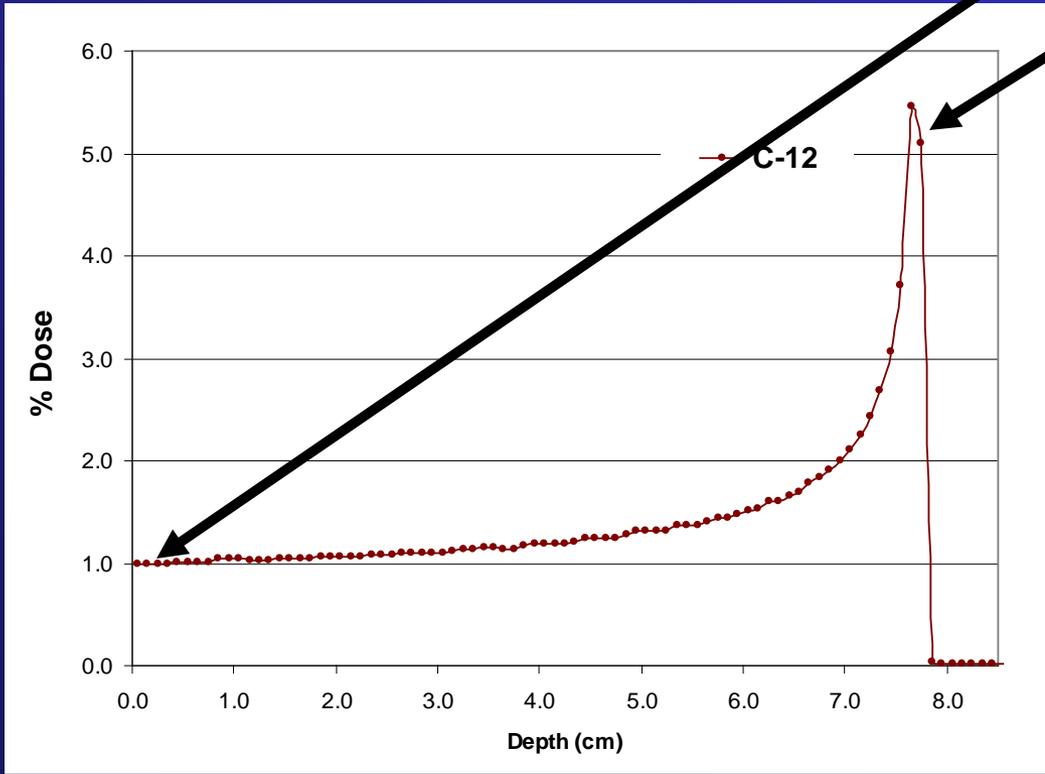
GEANT4 Monte Carlo simulations of proton and carbon energy deposition tracks in water



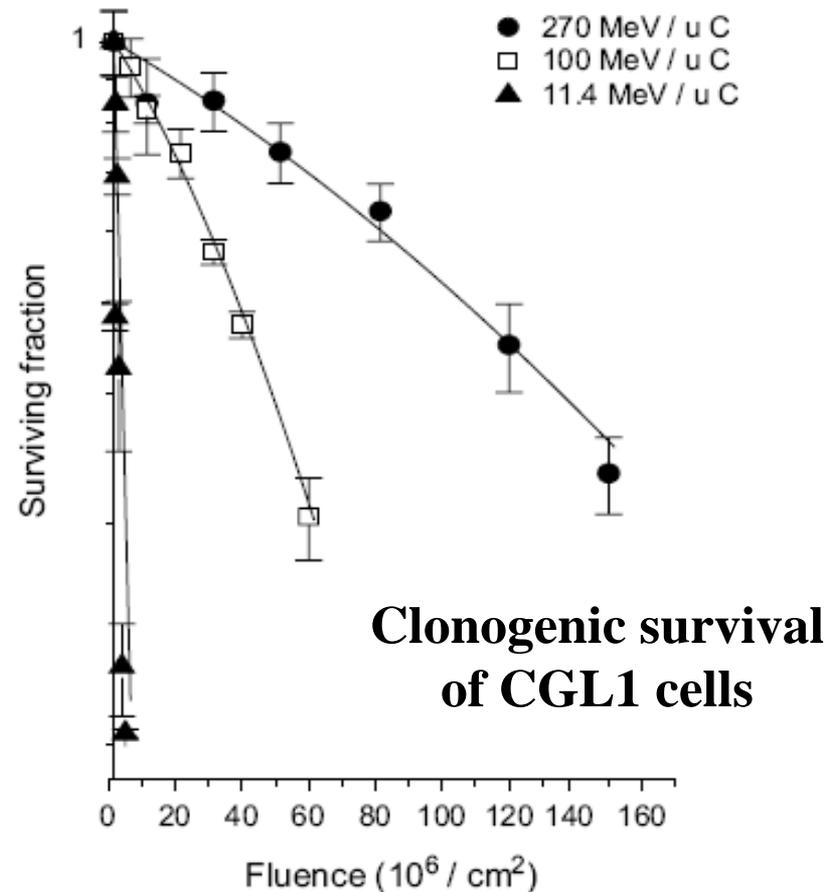
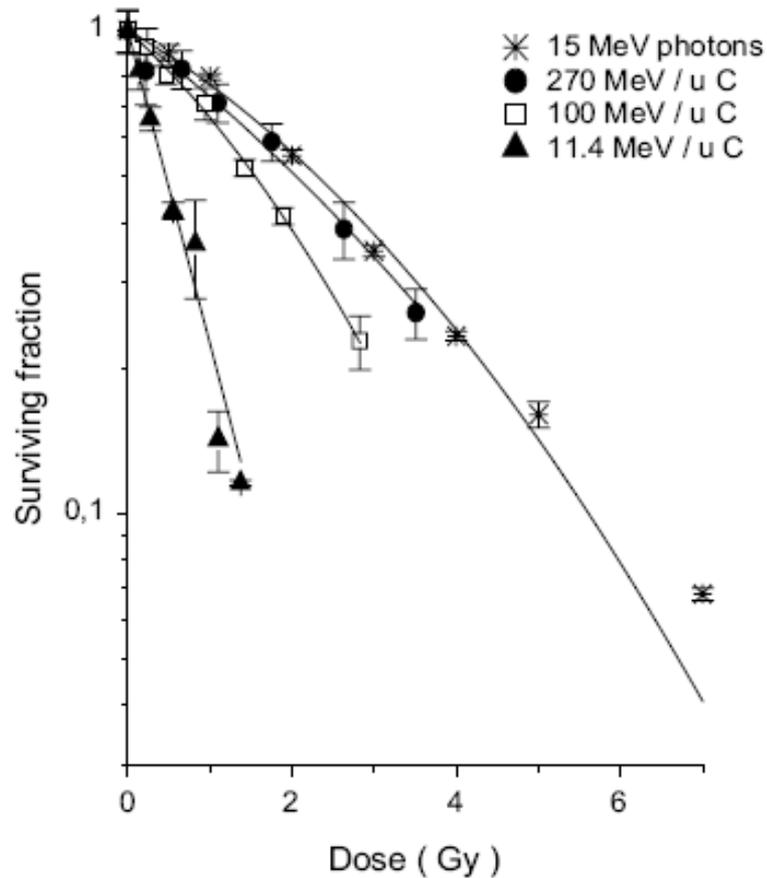
Discrete ion-electron collisions producing knock-on electrons (δ -ray)



| Energy (MeV/u) | Energy on target (MeV/u) | LET (keV/ μ m) | Range in water (mm) |
|----------------|--------------------------|--------------------|---------------------|
| 270.0 | 266.4 | 13.8 | 137 |
| 100.0 | 86.5 | 29.5 | 19.8 |
| 11.4 | 9.65 | 172 | 0.41 |



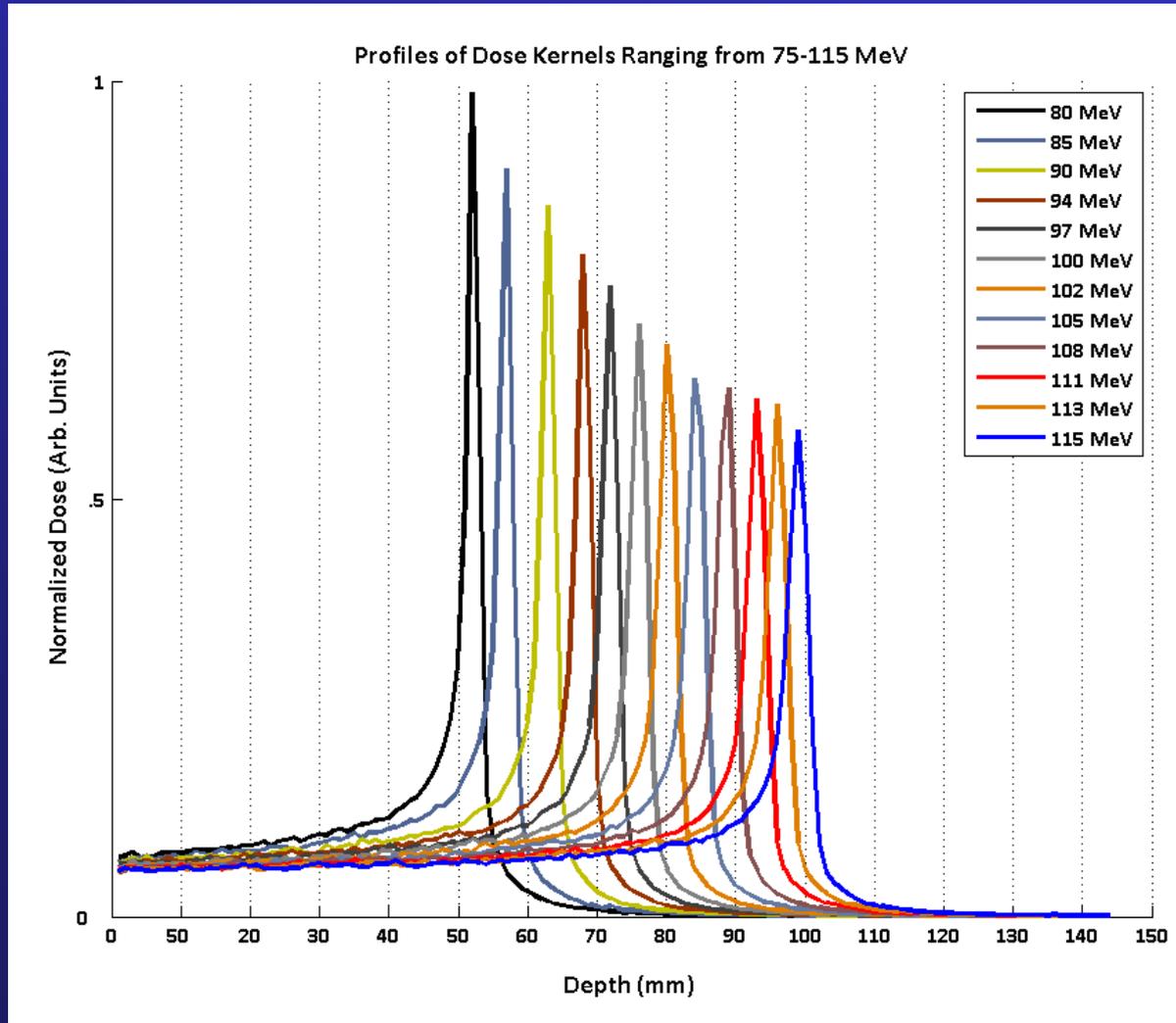
The biological considerations of heavy particle radiotherapy and accounting for RBE



Bettega et al., "Neoplastic transformation induced by carbon ions", IJROBP, 73, (2009)

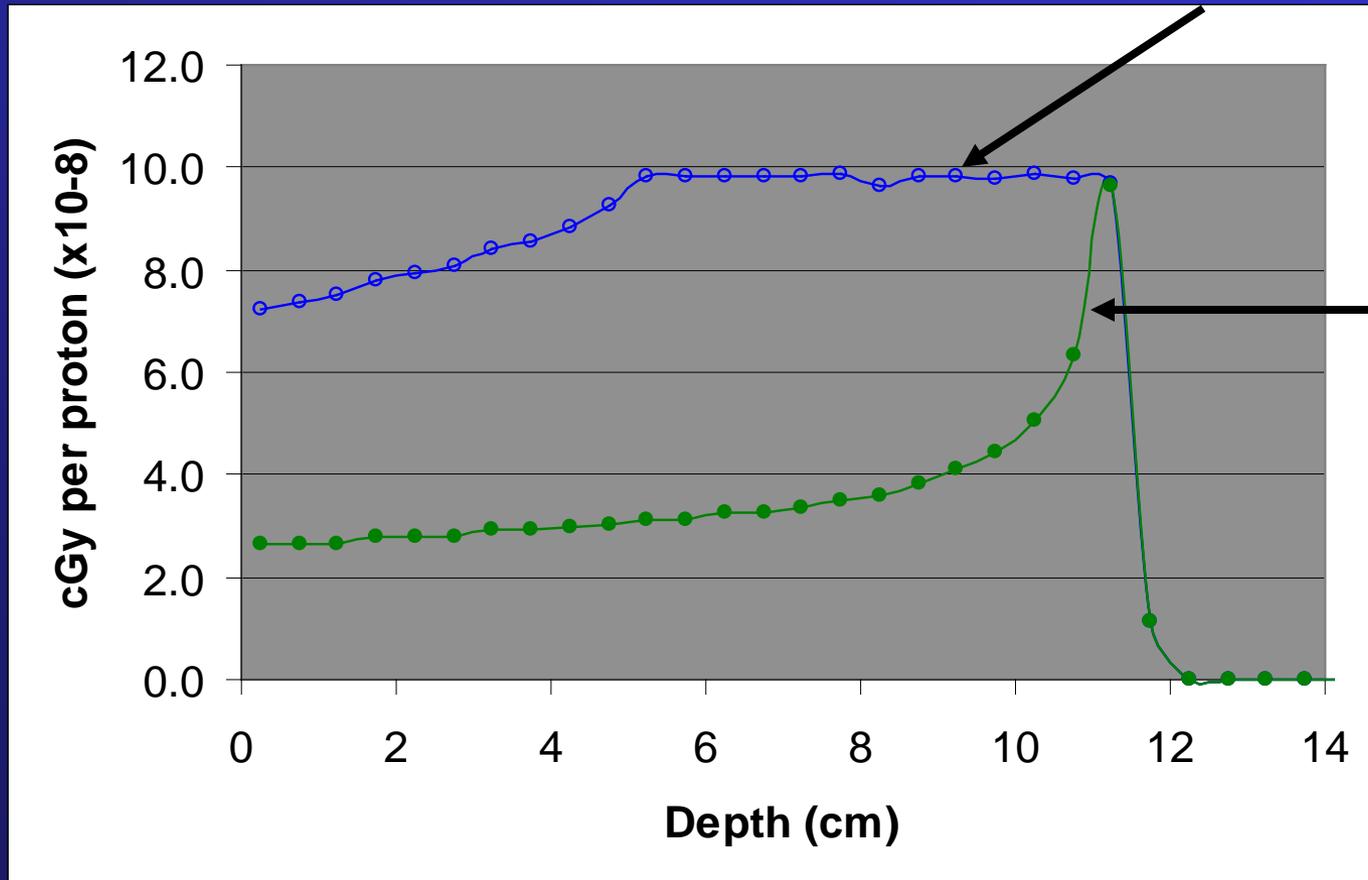
Monoenergetic Bragg Peaks

Each energy has a maximum range based upon the material stopping powers.



Spread-Out Bragg Peak and physical dose

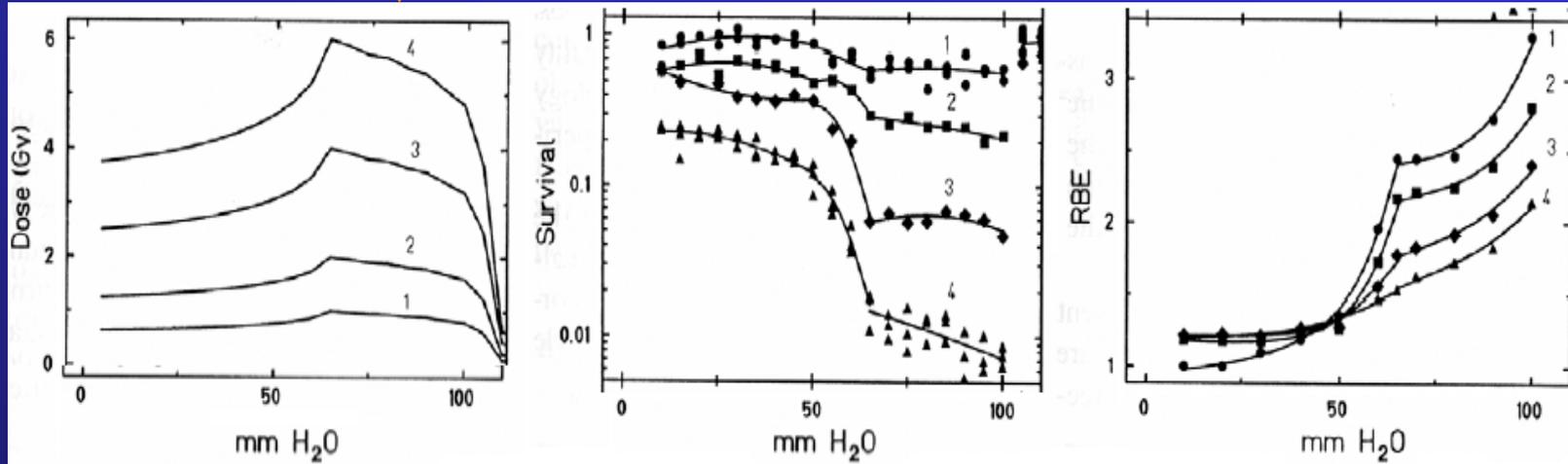
Modulated depth dose curve
over a depth of approximately 6.5 cm.



Un-modulated
depth dose curve

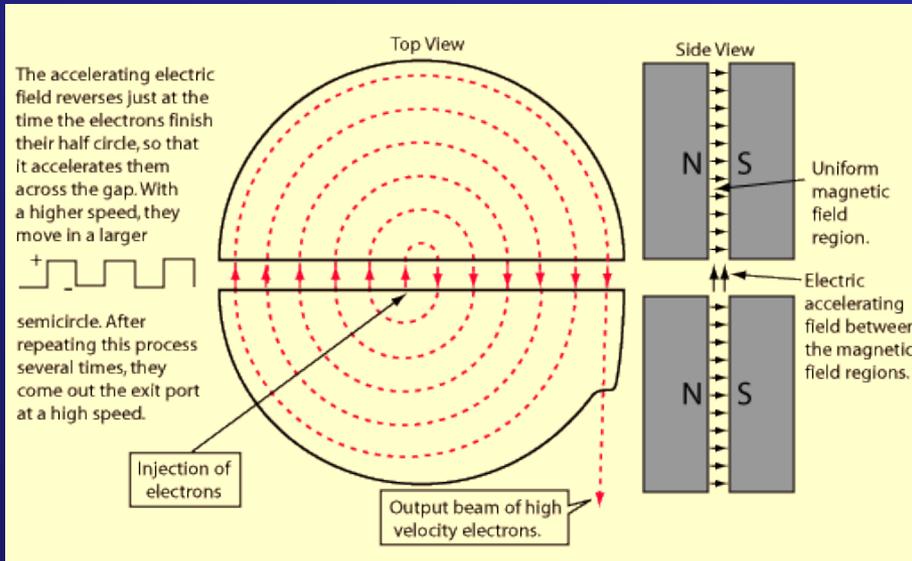
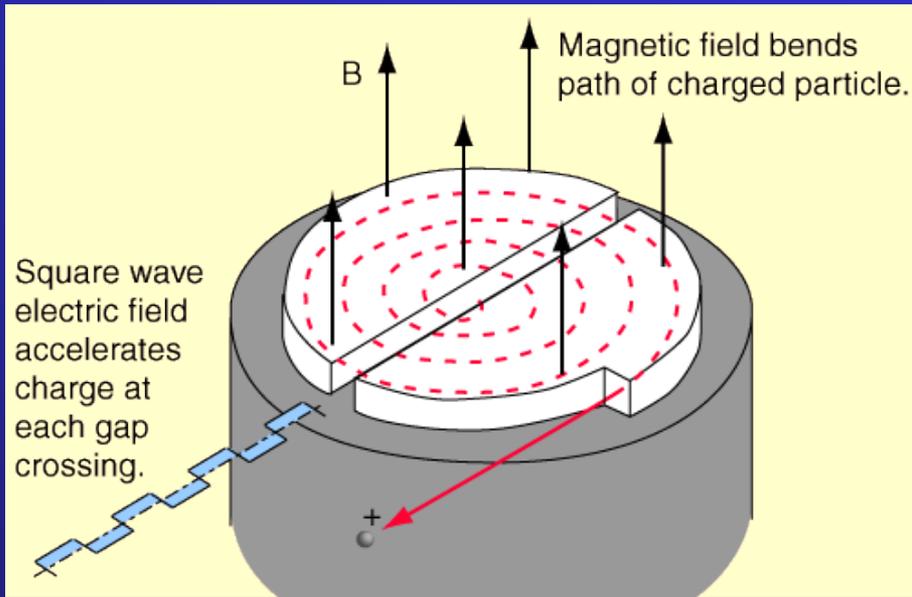
Broad, parallel beam of 125 MeV monoenergetic protons

Spread-out bragg peak?



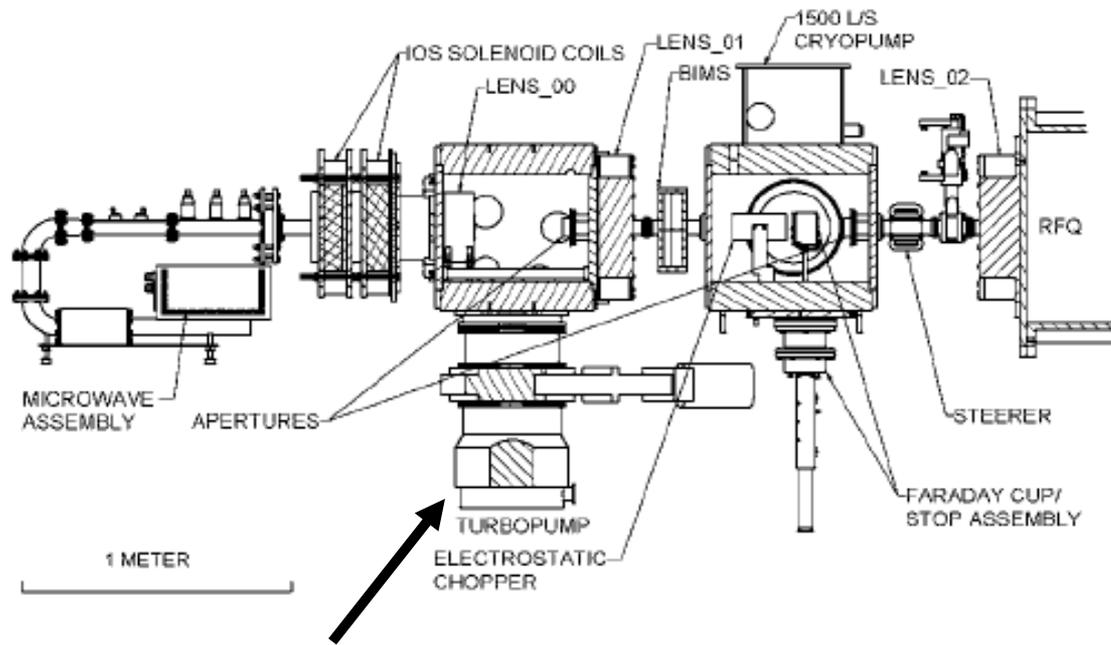
Comparison of the physical absorbed dose (left panel) and measured cell survival of CHO cells (central panel) in an SOBP for various doses. RBE values **calculated** from the measured cell survival are shown in the right panel. The dose has to decrease at the distal part in order to achieve a homogeneous biological effect over the simulated tumour.

From Amaldi and Kraft, "Radiotherapy with beams of carbon ions, Reports on Progress in Physics, 68, (2005)



Reproduced from HyperPhysics, C.R. Nave 2012

Midwest Proton Radiotherapy Institute

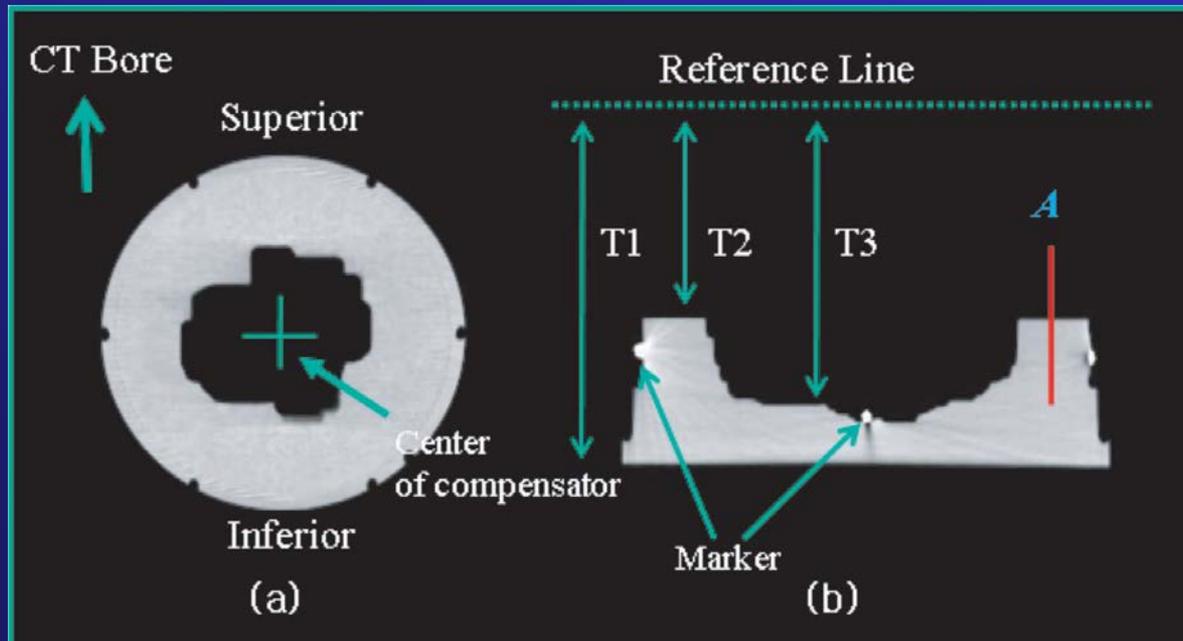
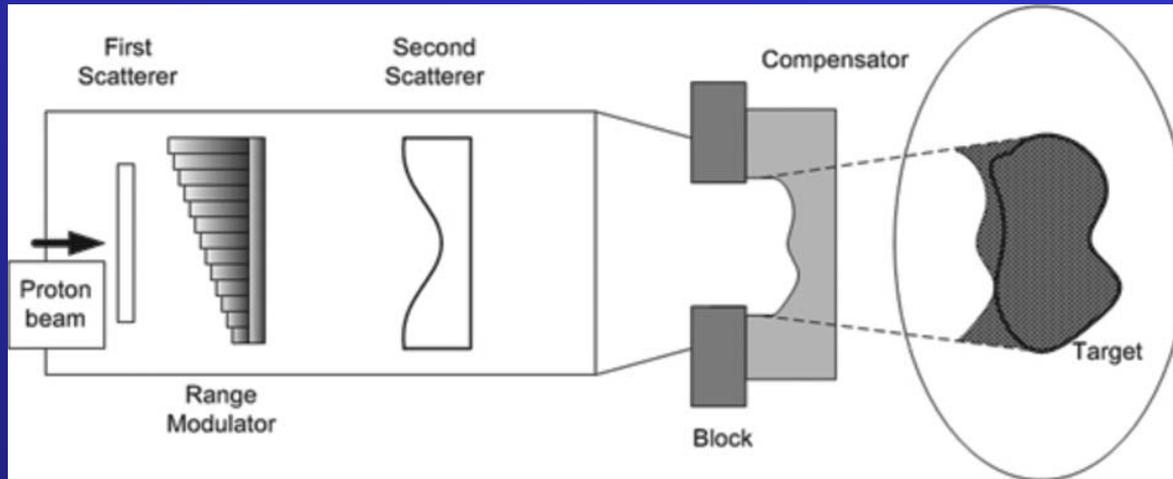


Hydrogen gas supply

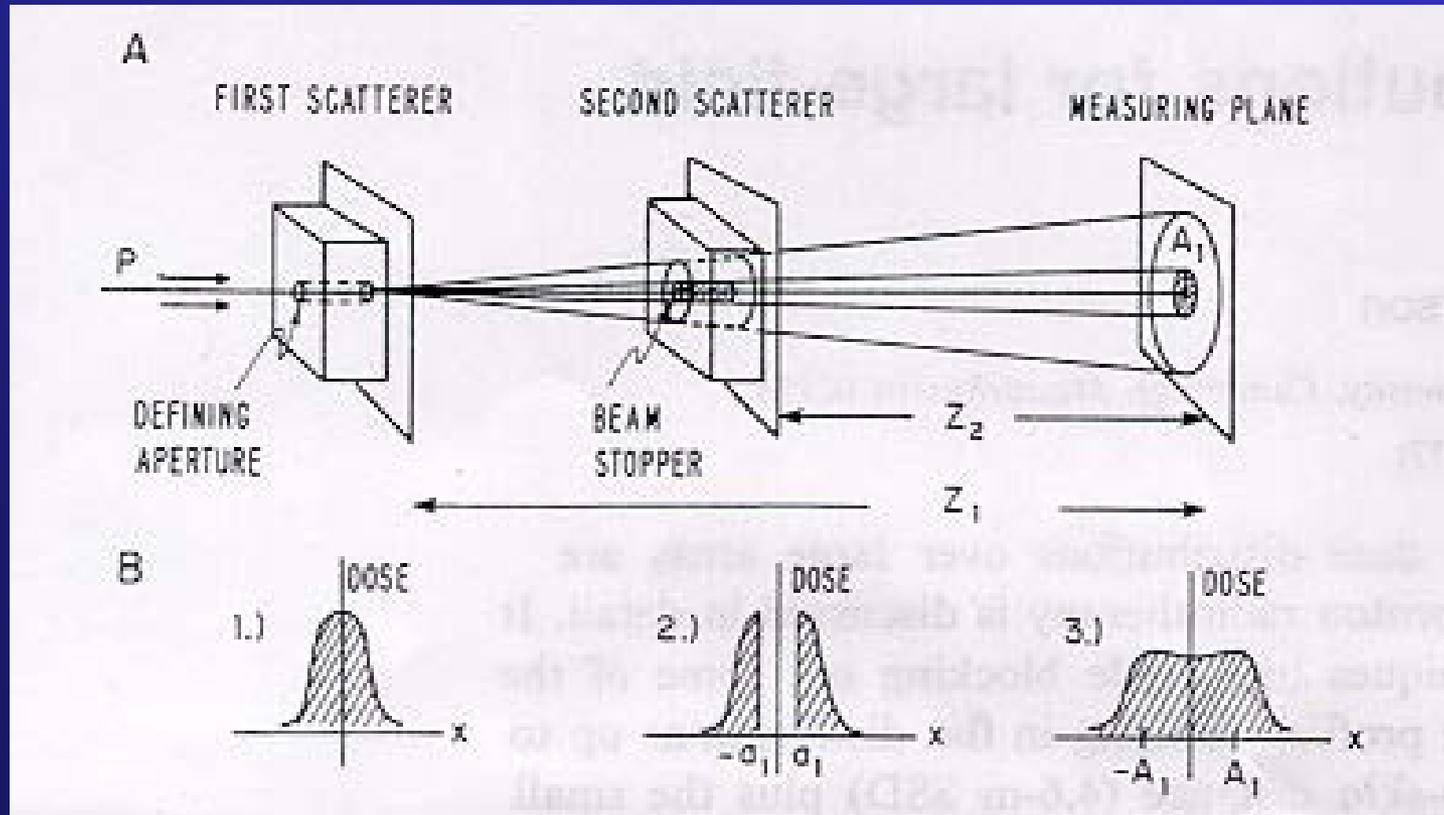
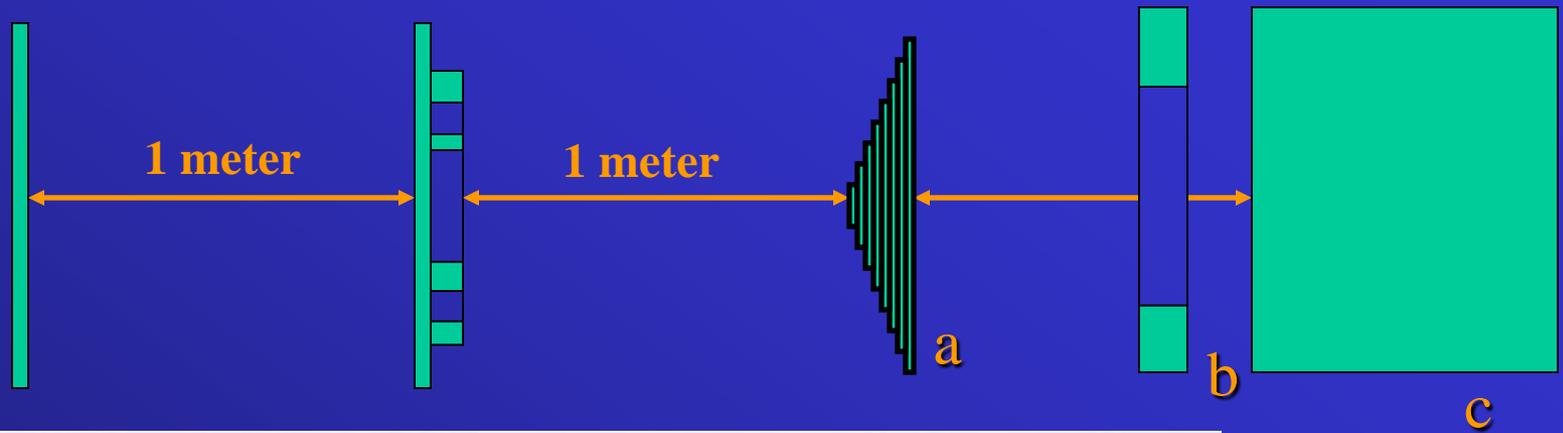
Microwave source based proton injector
20 keV injection energy

208 MeV maximum accelerated proton energy

Passive Scattering System



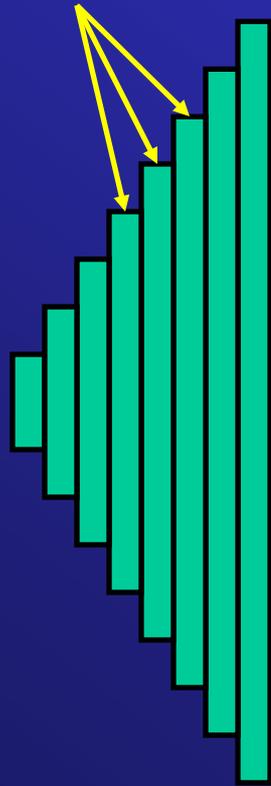
Yoon et al. "Computerized tomography-based quality assurance tool for proton range Compensators", *Med. Phys.*, 35, (2008).



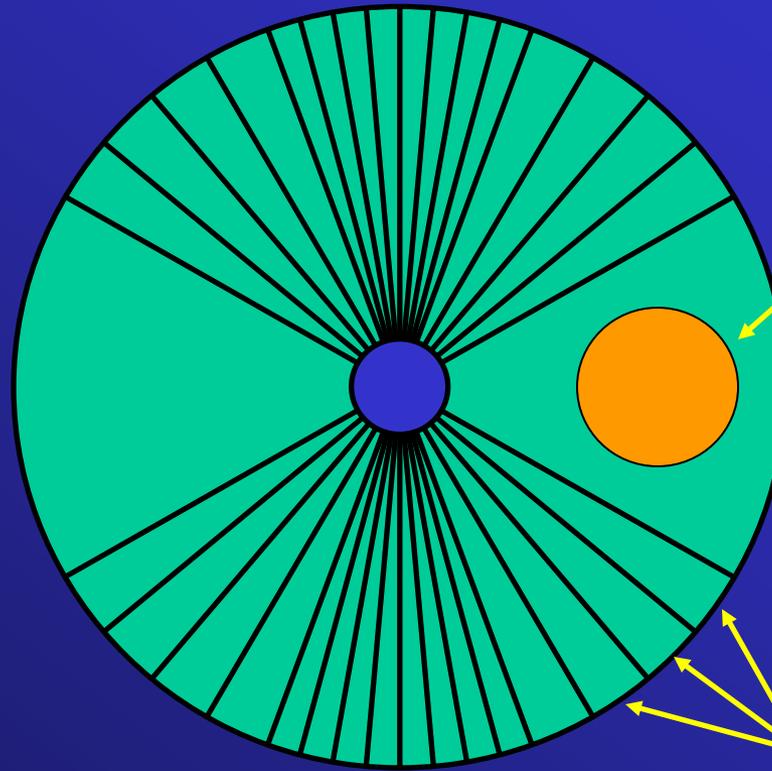
- a. Range wheel
- b. collimator
- c. H₂O phantom

Range Modulation Wheel

The thickness of each plate determines the energy of the shifted bragg peak



Side View

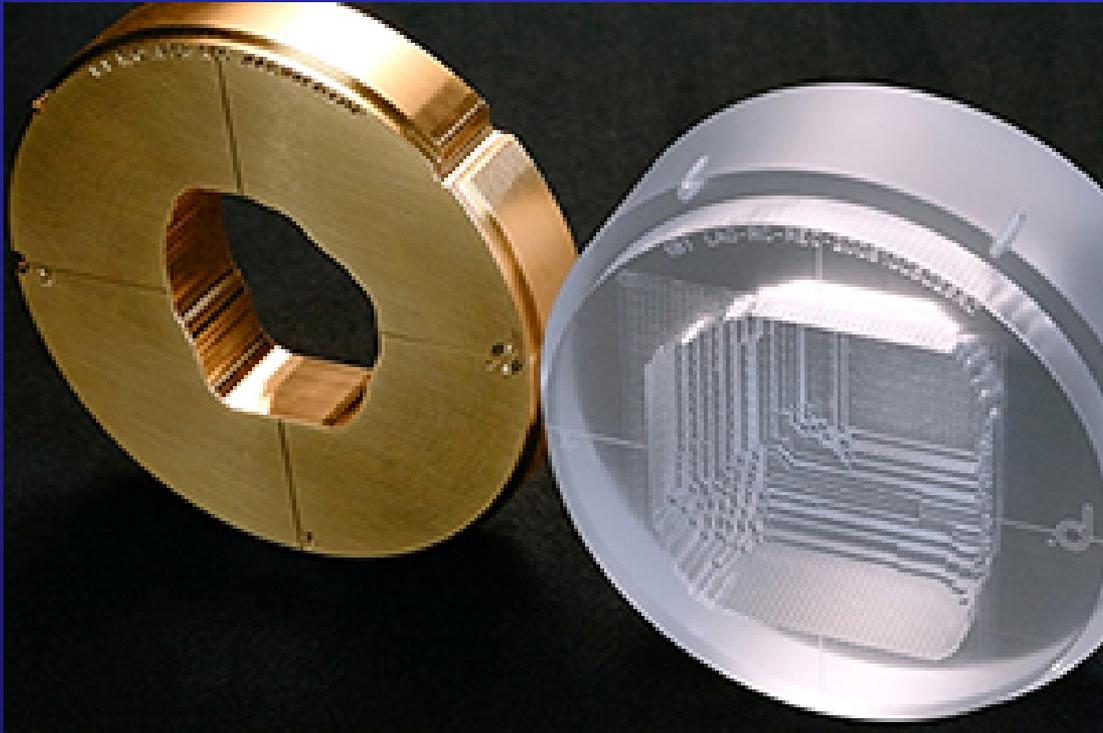


Beams-Eye View

Beam Port

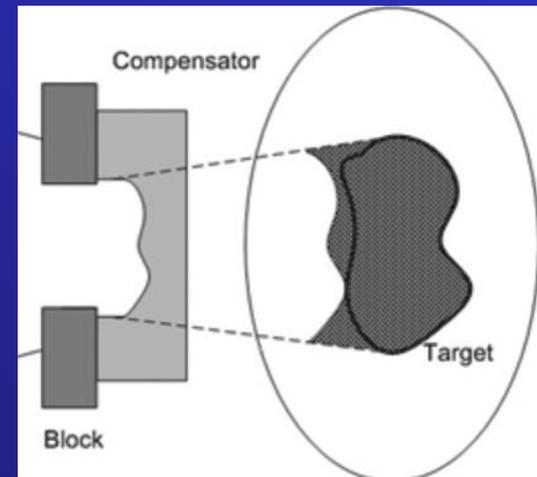
The opening angle of each pie section determines the relative weight of each bragg peak.

Range Modulation versus Fluence Modulation



Brass collimator serves to collimate the incident beam in-plane and cross-plane

Plastic compensator modulates the energy as a function of PTV depth.



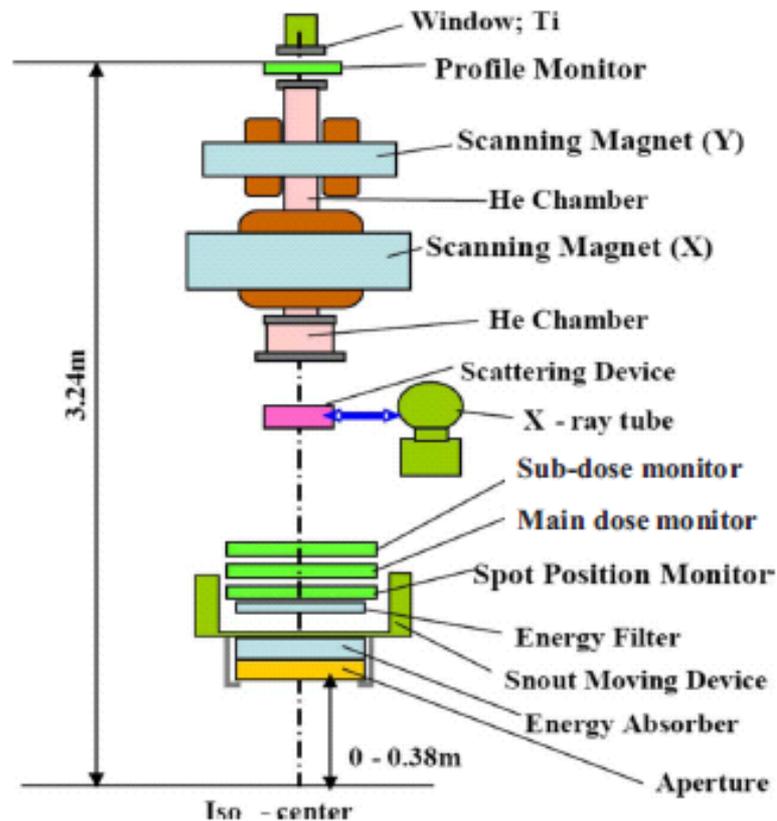
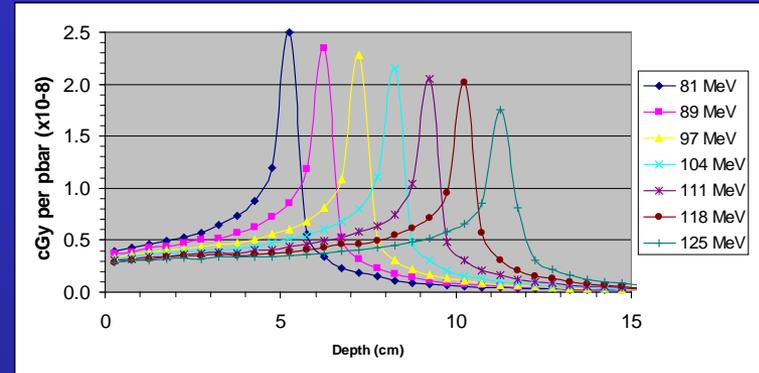
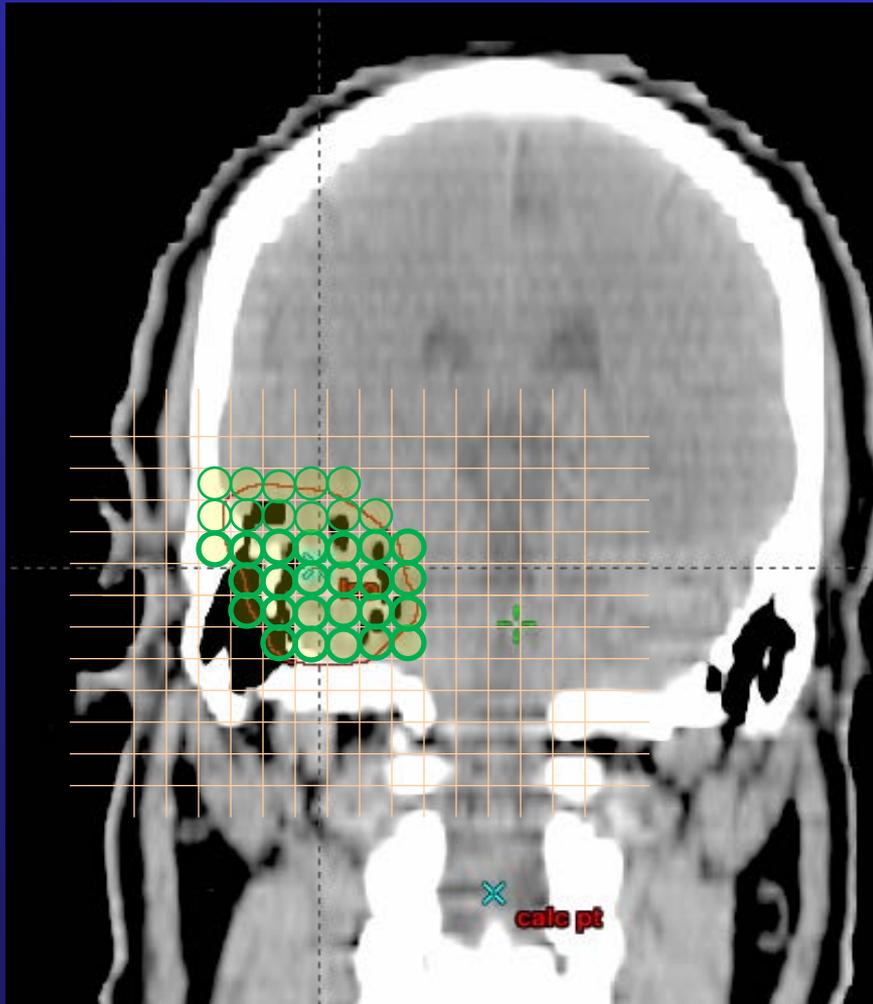


FIG. 3. Schematic of the scanning proton beam nozzle of the Hitachi Pro-Beat machine at PTC-H.

Commissioning of the discrete spot scanning proton beam delivery system at the University of Texas M.D. Anderson Cancer Center, Proton Therapy Center, Houston

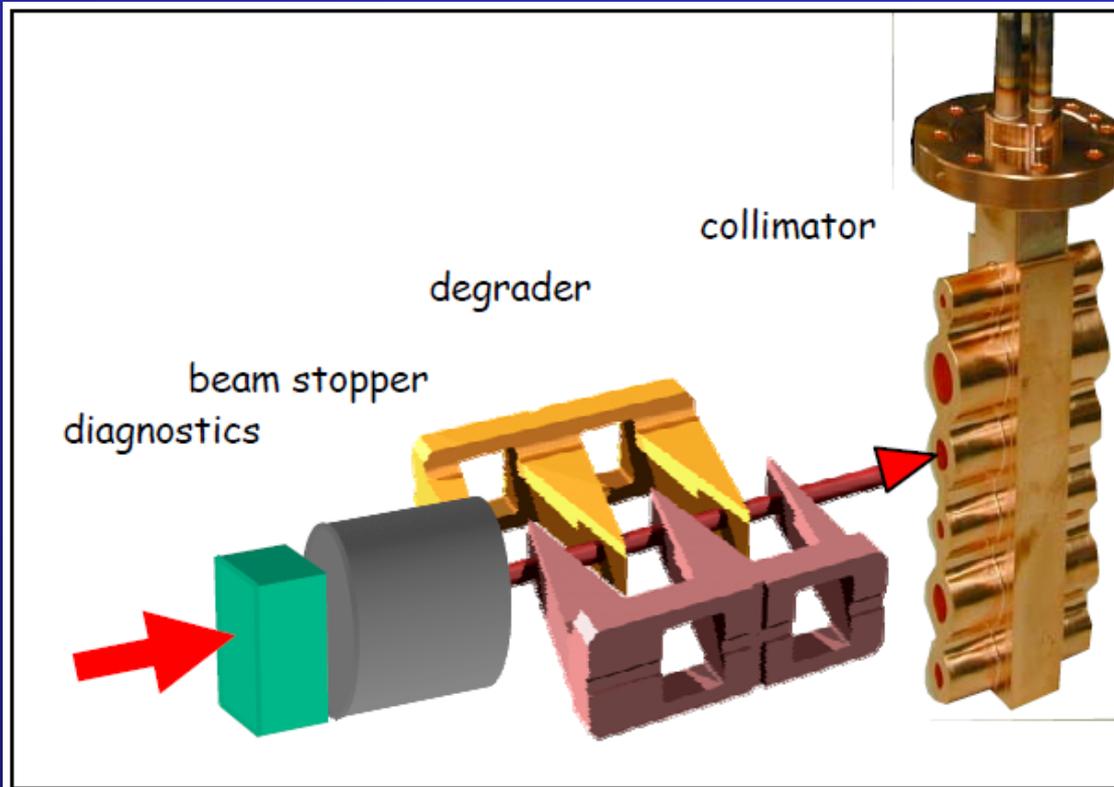
Michael T. Gillin, a Narayan Sahoo, Martin Bues, George Ciangaru, Gabriel Sawakuchi, Falk Poenisch, Bijan Arjomandy, Craig Martin, Uwe Titt, Kazumichi Suzuki, Alfred R. Smith, and X. Ronald Zhu
Department of Radiation Physics, U.T. MD Anderson Cancer Center, Med. Phys. 37, (2010).

Spot Scanning & IMPT (Intensity Modulated Proton Therapy)



Modulate energy as a function of PTV depth

○ Proton "spot"

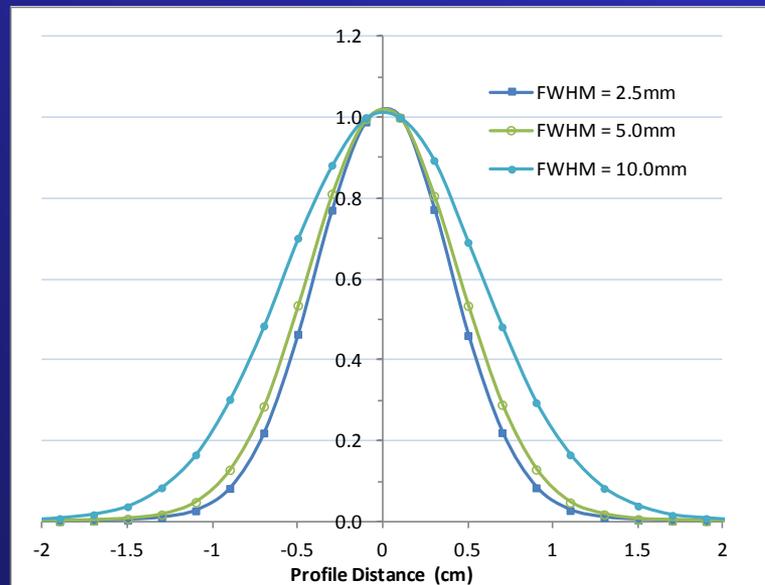
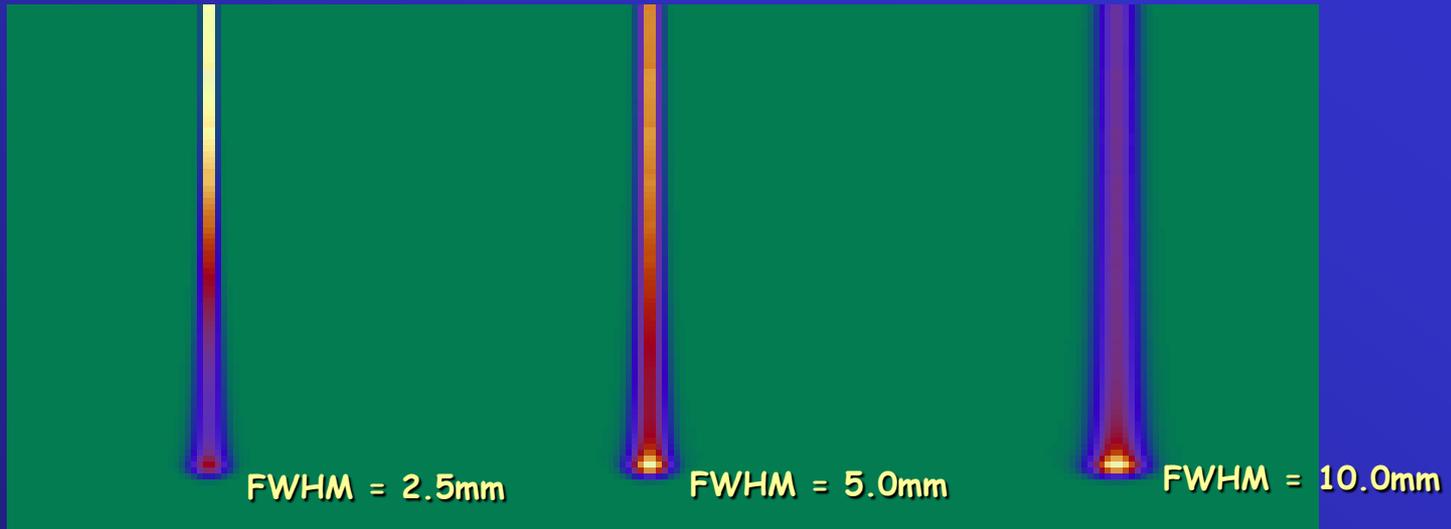


Energy modulation can be accomplished using a pair of opposed wedge degraders that operate on a 50ms time scale

THE SUPERCONDUCTING CYCLOTRON AND BEAM LINES OF PSI'S NEW PROTON THERAPY FACILITY "PROSCAN"

J.M. Schippers, J. Cherix, R. Dölling, P.A. Duperrex, J. Duppich, M. Jermann, A. Mezger, H.W. Reist, and the *PROSCAN* team, PSI, Villigen, Switzerland

Monte Carlo generated spot kernels



Virtual spot scanning with 100 MeV protons, Bragg peak depth $\approx 7.0\text{cm}$



5mm FWHM



2.5mm FWHM



1.5mm FWHM



Virtual spot scanning with 100 MeV protons
Bragg peak depth $\approx 7.0\text{cm}$



Spot size FWHM = 1mm

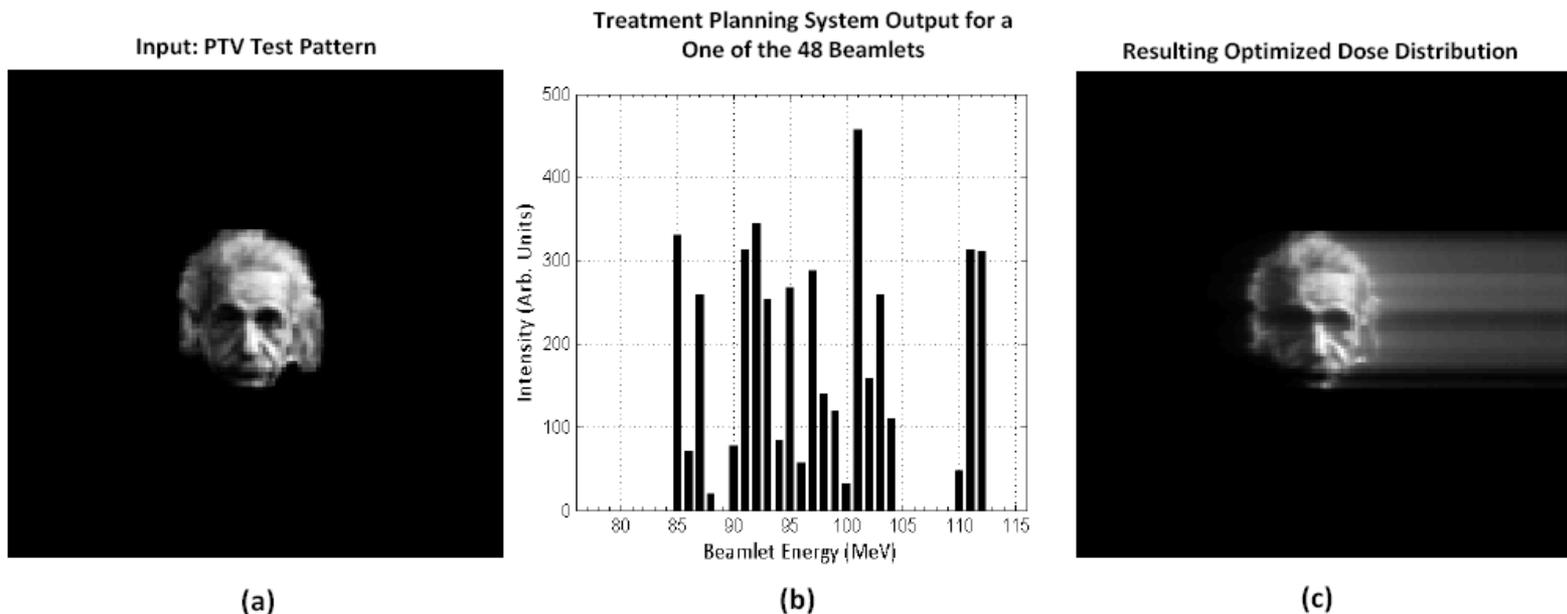
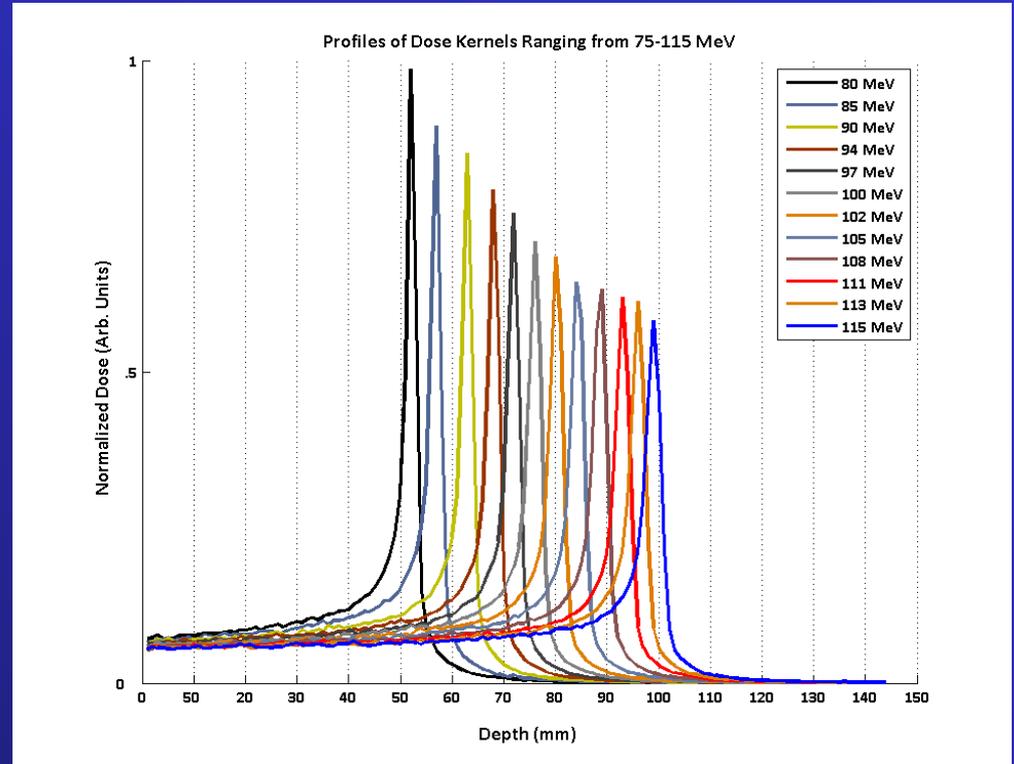


Fig. 2 (a) Test PTV: 4.8 cm x 4.8 cm low resolution image of A. Einstein in water phantom (voxel size= .1 cm x .1 cm x 1cm) (b) Treatment planning system output for optimal energy and intensity modulation of one of the 48 antiproton beamlets (20th from top) originating from the right. (c) The resulting dose distribution calculated from the optimized energy and intensity modulation produced by the treatment planning system.

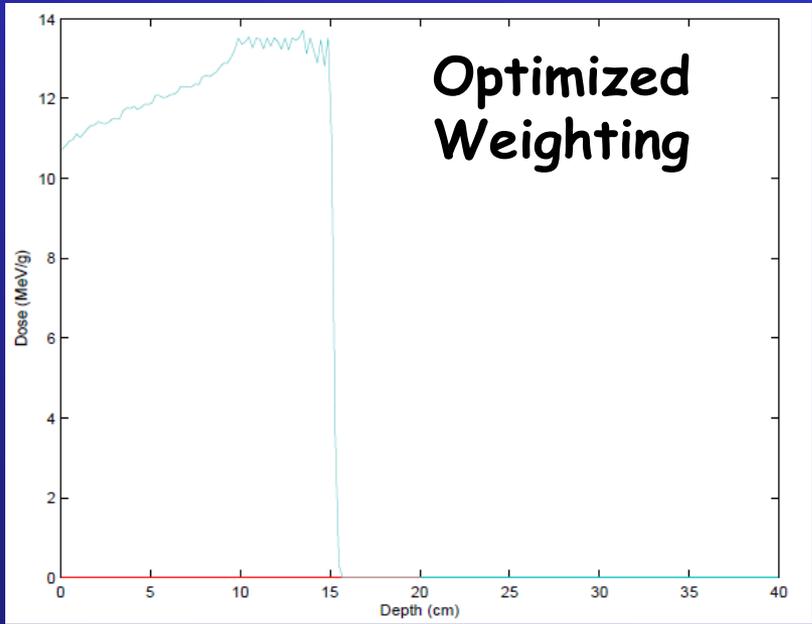
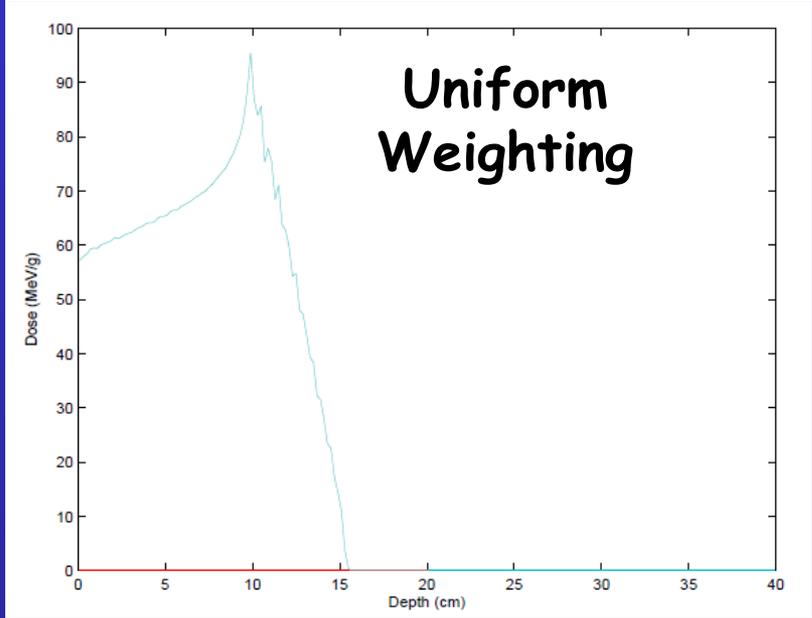
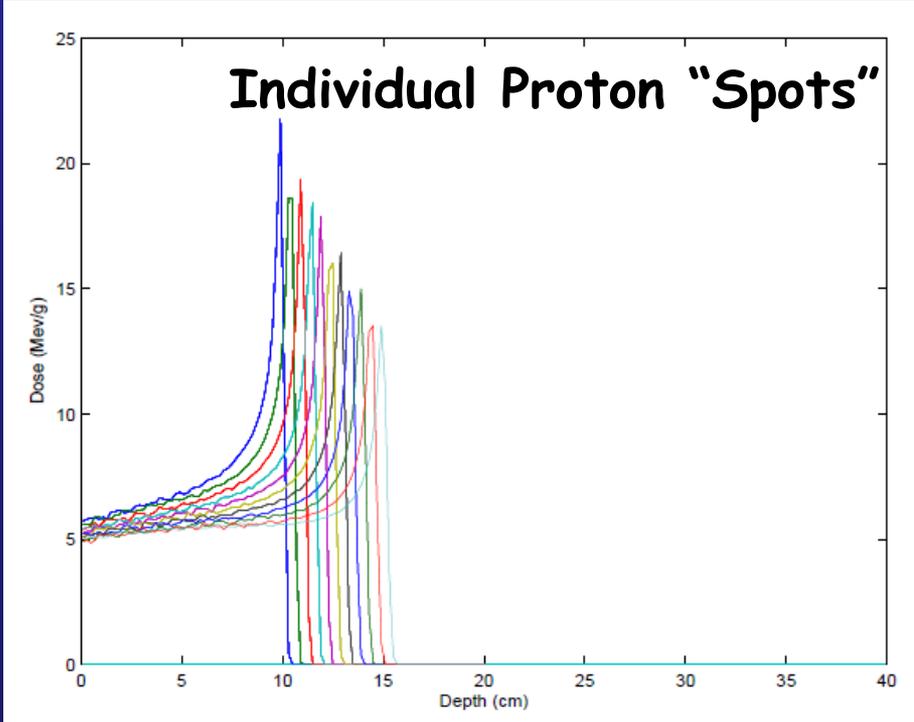
Antiproton Radiotherapy: Development of Physically and Biologically Optimized Monte Carlo Treatment Planning Systems for Intensity and Energy Modulated Delivery

Courtesy of Benjamin Fahimian, Submitted to Young Investigator Symposium AAPM 2009.

Monte Carlo calculated monoenergetic dose kernels



Fahimian 2009



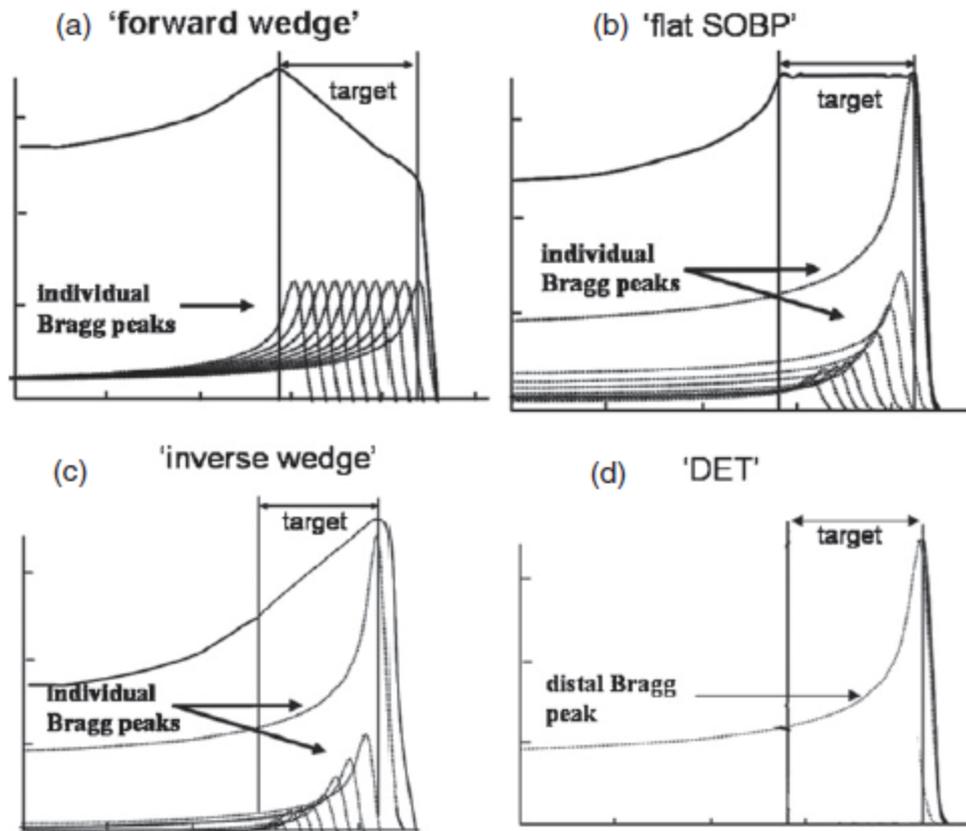


Figure 2. Schematic representation of the initial beamlet weights for a single field approaching the target from left to right: (a) individual Bragg peaks with identical weighting resulting in an initial 'forward wedge' dose distribution; (b) individual Bragg peaks with reduced weighting from distally to proximally resulting in an 'flat SOBP' dose distribution; (c) individual Bragg peaks with reduced weighting from distally to proximally such to deliver an initial 'inverse wedge' dose distribution; (d) selection of the most distal Bragg peak only, for a given lateral position (DET approach).

The influence of the optimization starting conditions on the robustness of intensity-modulated proton therapy plans

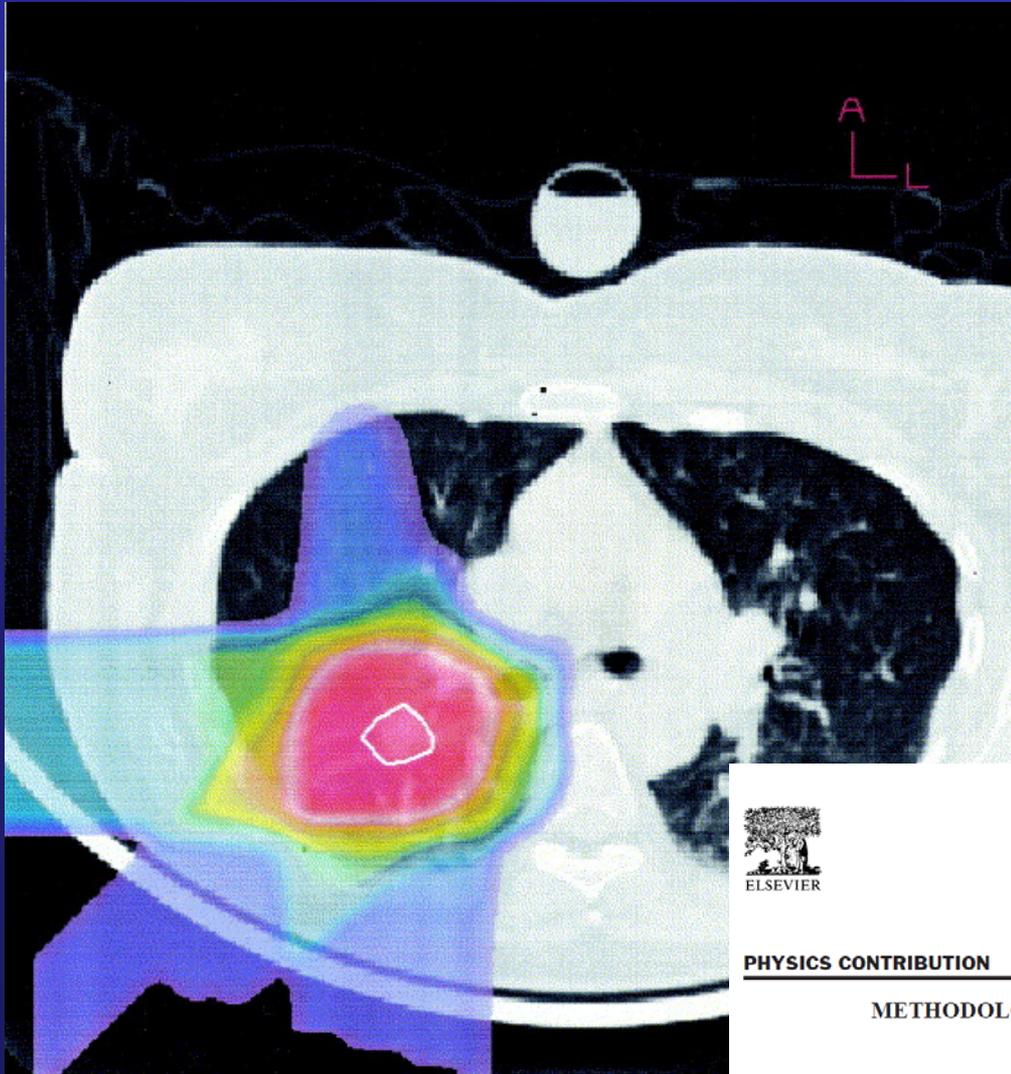
F Albertini¹, E B Hug^{1,2} and A J Lomax^{1,3}

¹ Center for Proton Therapy, Paul Scherrer Institut, 5232 Villigen PSI, Switzerland

² University of Zurich, Zurich, Switzerland

³ Department of Physics, Swiss Institute of Technology (ETH), Zurich, Switzerland

Proton Range Uncertainty: Motion & Heterogeneities



Int. J. Radiation Oncology Biol. Phys., Vol. 49, No. 5, pp. 1429-1438, 2001
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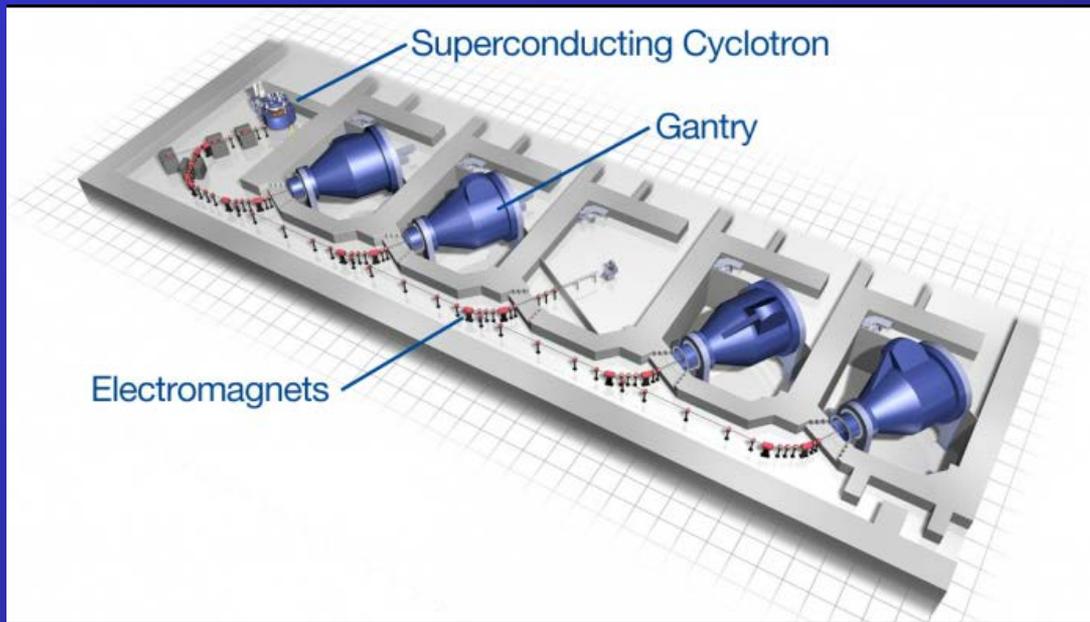
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PHYSICS CONTRIBUTION

METHODOLOGIES AND TOOLS FOR PROTON BEAM DESIGN FOR LUNG TUMORS

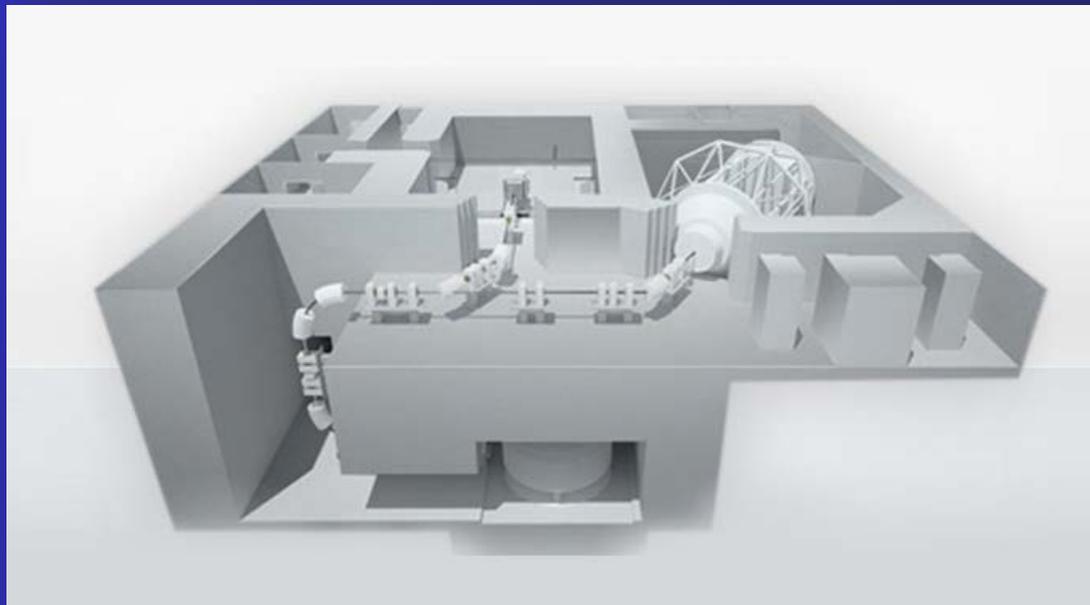
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5-room Proton Therapy Facility

(courtesy of Advanced Particle Therapy (APT) and Varian Medical)



2-room Proton Therapy Facility

(Proteus Nano, courtesy of iba Proton Therapy)

Cyclotron



Proton Beam-Line



courtesy of iba Proton
Therapy

Heidelberg Ion Treatment Facility



Gantry Tx area

Ceiling-mounted x-ray unit for image guidance

Stationary beam port

Robotic table



Fixed Beam Room



Gantry Room

courtesy of iba Proton
Therapy

Intensity-Modulated Radiation Therapy, Proton Therapy, or Conformal Radiation Therapy and Morbidity and Disease Control in Localized Prostate Cancer

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PROSTATE CANCER IS THE MOST common malignancy in men, with more than 200 000 diagnoses and 30 000 deaths per year.¹ Recent advances in technology have led to costlier treatments such as minimally invasive radical prostatectomy, intensity-modulated radiation therapy (IMRT), and proton therapy. The adoption of these technologies resulted in a \$350 million increase in health care expenditures in 2005 alone.² The Institute of Medicine, Agency for Healthcare Research and Quality, Secretary of the Department of Health and Human Services, and others have called for comparative effectiveness research of localized prostate cancer treatments,^{3,4} which is especially relevant for radiation therapy, for which IMRT has gradually replaced the older technique of conformal radiation therapy during the past 10 years. More recently, there has been a substantial increase in the number of

Context There has been rapid adoption of newer radiation treatments such as intensity-modulated radiation therapy (IMRT) and proton therapy despite greater cost and limited demonstrated benefit compared with previous technologies.

Objective To determine the comparative morbidity and disease control of IMRT, proton therapy, and conformal radiation therapy for primary prostate cancer treatment.

Design, Setting, and Patients Population-based study using Surveillance, Epidemiology, and End Results–Medicare-Linked data from 2000 through 2009 for patients with nonmetastatic prostate cancer.

Main Outcome Measures Rates of gastrointestinal and urinary morbidity, erectile dysfunction, hip fractures, and additional cancer therapy.

Results Use of IMRT vs conformal radiation therapy increased from 0.15% in 2000 to 95.9% in 2008. In propensity score–adjusted analyses (N=12 976), men who received IMRT vs conformal radiation therapy were less likely to receive a diagnosis of gastrointestinal morbidities (absolute risk, 13.4 vs 14.7 per 100 person-years; relative risk [RR], 0.91; 95% CI, 0.86–0.96) and hip fractures (absolute risk, 0.8 vs 1.0 per 100 person-years; RR, 0.78; 95% CI, 0.65–0.93) but more likely to receive a diagnosis of erectile dysfunction (absolute risk, 5.9 vs 5.3 per 100 person-years; RR, 1.12; 95% CI, 1.03–1.20). Intensity-modulated radiation therapy patients were less likely to receive additional cancer therapy (absolute risk, 2.5 vs 3.1 per 100 person-years; RR, 0.81; 95% CI, 0.73–0.89). In a propensity score–matched comparison between IMRT and proton therapy (n=1368), IMRT patients had a lower rate of gastrointestinal morbidity (absolute risk, 12.2 vs 17.8 per 100 person-years; RR, 0.66; 95% CI, 0.55–0.79). There were no significant differences in rates of other morbidities or additional therapies between IMRT and proton therapy.

Conclusions Among patients with nonmetastatic prostate cancer, the use of IMRT compared with conformal radiation therapy was associated with less gastrointestinal morbidity and fewer hip fractures but more erectile dysfunction; IMRT compared with proton therapy was associated with less gastrointestinal morbidity.

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proton facilities built, and direct-to-consumer advertising is likely to lead to an increase in its use.^{5,6} The clinical benefit from these newer treatments is unproven, and comparative effectiveness research examining different radiation techniques is lacking. Given these trends in use, multiple recent reports have specifically called for research on proton therapy.⁹

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POINT/COUNTERPOINT

Suggestions for topics suitable for these Point/Counterpoint debates should be addressed to Colin G. Orton, Professor Emeritus, Wayne State University, Detroit: orntonc@comcast.net. Persons participating in Point/Counterpoint discussions are selected for their knowledge and communicative skill. Their positions for or against a proposition may or may not reflect their personal opinions or the positions of their employers.

Within the next 10–15 years protons will likely replace photons as the most common type of radiation for curative radiotherapy

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OVERVIEW

Interest in proton therapy has increased dramatically in the past couple of years, especially in the United States. The obvious physical benefits of protons are offset by the high costs. The promise of innovative new technologies to reduce the cost of proton therapy machines, however, combined with impressive results being accumulated, might make proton therapy not only a feasible alternative to conventional techniques for curative patients, but possibly the treatment of choice at some time in the not-too-distant future. This is the premise debated in this month's Point/Counterpoint.



Chair and Director of Medical Physics in the Department of Radiation Oncology at the University of Pennsylvania. His research interests are particle therapy (neutrons, protons, heavy ions), with a particular emphasis on proton therapy.

Arguing for the Proposition is Richard L. Maughan, Ph.D. Dr. Maughan received his Ph.D. in physics from the University of Birmingham in England. He started his career at the Gray Laboratory, London in 1974, and moved to Wayne State University in 1983 where he was responsible for the medical physics aspects of a neutron therapy program. He is now Professor, Vice



Ph.D. in physics from the Free University in Brussels. His main interests lie in patient and organ positioning, incorporating radiobiological models into clinical planning, use of exotic particles for treatment, and using computers to make his life easier.

FOR THE PROPOSITION: Richard L. Maughan, Ph.D.

Opening Statement

Over the past sixty years technical advances in radiotherapy have led to new radiation delivery techniques which have allowed for tumor dose escalation and improved normal tissue sparing. We have progressed from orthovoltage x rays, through ⁶⁰Co units, high energy linacs, conformal therapy, to intensity modulated radiation therapy (IMRT) and tomotherapy. The clinical efficacy of these advances has been readily accepted by physicians and physicists and the new technologies have been rapidly applied to the benefit of many patients. In no case have controlled clinical trials of